

Generic existence of multiplicity-1 minmax minimal hypersurfaces via Allen–Cahn

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Abstract

In Guaraco’s work [7] a new proof was given of the existence of a closed minimal hypersurface in a compact Riemannian manifold N^{n+1} with $n \geq 2$. This was achieved by employing an Allen–Cahn approximation scheme and a one-parameter minmax for the Allen–Cahn energy (relying on works by Hutchinson, Tonegawa, Wickramasekera to pass to the limit as the Allen–Cahn parameter tends to 0). The minimal hypersurface obtained may a priori carry a locally constant integer multiplicity. Here we modify the minmax construction of [7], by allowing an initial freedom on the choice of the valley points between which the mountain pass construction is carried out, and then optimising over said choice. We then prove that, when $2 \leq n \leq 6$ and the metric is bumpy, this minmax leads to a (smooth closed) minimal hypersurface with multiplicity 1. (When $n = 2$ this conclusion also follows from Chodosh–Mantoulidis’s work [5].) As immediate corollary we obtain that every compact Riemannian manifold of dimension $n + 1$, $2 \leq n \leq 6$, endowed with a bumpy metric, admits a two-sided smooth closed minimal hypersurface (this existence conclusion also follows from Zhou’s result [37] for minmax constructions via Almgren–Pitts theory).

1 Introduction

Existence problems in Riemannian geometry have a long history and those concerned with stationary points of area (and related functionals) occupy a prominent position. A minmax approach introduced in the 70s by Almgren and Pitts has led in the last decade to extraordinary developments in geometric analysis, starting with the celebrated work [18] by Marques–Neves. More recently, an alternative minmax approach has been developed, based on the approximation of the area functional by the Allen–Cahn energy. In particular, the existence of a closed minimal hypersurface (smoothly embedded away possibly from a singular set of dimension at most $n - 7$) in an arbitrary compact Riemannian manifold N^{n+1} ($n \geq 2$), originally proved in [1], [25], [26], [27], has been achieved in Guaraco’s work [7] with a construction that employs very classical mountain pass tools and completely avoids the Almgren–Pitts machinery; it capitalises instead on the analysis carried out in Hutchinson–Tonegawa [12], Tonegawa [31], Tonegawa–Wickramasekera [32] (to send the Allen–Cahn parameter to 0) and on the sharp regularity result provided by Wickramasekera [35]. Both in the Almgren–Pitts and in the Allen–Cahn approach, the minimal hypersurface is obtained as an integral varifold, that turns out to be smooth away from a singular set

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of codimension ≥ 7 thanks to the fundamental regularity/compactness theory available in each of the two settings. In general, in both approaches, the hypersurface may a priori carry multiplicity > 1 .

Very recently, Zhou [37] obtains multiplicity-1 and two-sidedness for minmax minimal hypersurfaces constructed via the Almgren–Pitts minmax when $2 \leq n \leq 6$ and N is endowed with a bumpy (thus generic) metric (or, also, with a metric with positive Ricci curvature). The result applies to multi-parameter minmax, and confirms a well-known conjecture of Marques–Neves [20, 1.2]. Previous progress in this direction had been made in [19].

A natural counterpart to Marques–Neves’s conjecture is expected to be true for minmax constructions via Allen–Cahn. For $n = 2$ it follows from the work of Chodosh–Mantoulidis [5] (valid for arbitrary solutions with finite Morse index, not necessarily minmax solutions) that the minimal surface obtained by a (one- or multi-parameter) Allen–Cahn minmax is two-sided with multiplicity 1 in the case of bumpy metrics (and in the case of metrics with positive Ricci).

We point out that [37] and [5] obtain from their multi-parameter multiplicity-1 results (combined with the Weyl Laws available respectively for Almgren–Pitts and Allen–Cahn minmax constructions [17], [9]) the existence of infinitely many minimal hypersurfaces. In other words, they establish, under their respective assumptions, the validity of (versions of) the well-known Yau’s conjecture, which is established by other methods and for arbitrary Riemannian metrics with $2 \leq n \leq 6$ by the combined efforts of Marques–Neves [21] and Song [28] (for generic metrics with $n \geq 7$, see [16]).

When the Riemannian metric of the compact manifold has positive Ricci curvature several other multiplicity-1 and two-sidedness results were obtained in recent years in the case of one-parameter minmax. For the Almgren–Pitts method, Ketover–Marques–Neves obtain such conclusions (under the Ricci curvature assumption) in [15] for $2 \leq n \leq 6$, relying on previous progress from [36]. For the Allen–Cahn method, it is noted in Gaspar–Guaraco’s work [8] (see (4) in Theorem 2.1), relying on [15], [22], [23], that, when $2 \leq n \leq 6$ and the metric is bumpy and has positive Ricci curvature, then the minmax hypersurfaces are two-sided with multiplicity 1. The multiplicity-1 and two-sidedness conclusions for the one-parameter Allen–Cahn minmax is recently shown for $n \geq 2$ and any metric with positive Ricci curvature in the author’s work [2].

The relevance of multiplicity-1 results is shared by all minmax constructions (and not only): a minmax procedure developed by Rivière [30] for 2-dimensional surfaces in arbitrary codimension also faces the same issue, resolved by Pigati–Rivière [24].

We obtain here a multiplicity-1 result in the case in which $2 \leq n \leq 6$ and N has a bumpy (thus generic) metric, for a one-parameter minmax construction (via Allen–Cahn energy) that is a modification of the one set up in [7] (see also Figure 1), and of which we now give a brief overview, with details given in Section 2. (The minmax construction itself can be performed for an arbitrary Riemannian metric and in any dimension, while the multiplicity-1 conclusion exploits the metric and dimensional restrictions.)

For each ε (the parameter of the Allen–Cahn energy \mathcal{E}_ε), instead of using the admissible class of paths in $W^{1,2}(N)$ that join the constant -1 to the constant $+1$ (as in [7]), we consider all continuous paths in $W^{1,2}(N)$ that connect two distinct strictly stable critical points $v_\varepsilon^1, v_\varepsilon^2$ of the Allen–Cahn energy. (Note that the constants -1 and $+1$ are possible choices of strictly stable critical points.) For each ε and for any such $v_\varepsilon^1 \neq v_\varepsilon^2$, the minmax produces (by a standard mountain pass lemma) an Allen–

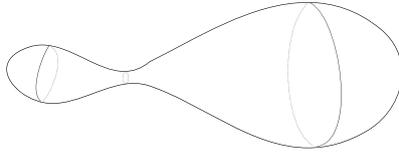


Figure 1: It seems reasonable to expect that the minmax carried out here finds the minimal hypersurface on the left, while the one in [7] finds the one on the right. There is a strictly stable minimal hypersurface between the two unstable ones.

Cahn critical point $u_{(v_\varepsilon^1, v_\varepsilon^2)}$ with Morse index at most 1, and with Allen–Cahn energy $\mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)})$ realizing the minmax value. Then we consider (for each ε)

$$\inf_{v_\varepsilon^1, v_\varepsilon^2} \mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}),$$

where $v_\varepsilon^1 \neq v_\varepsilon^2$ vary among all possible strictly stable critical points of \mathcal{E}_ε . We note that this infimum is achieved by the energy of a critical point u_ε , that has Morse index at most 1. Next we let $\varepsilon \rightarrow 0$, and consider any (subsequential) varifold limit V of the family V^{u_ε} , the varifolds associated to u_ε . It then follows that $V \neq 0$ and (as in [7], using [12], [31] [32], [35]) $\text{spt } \|V\|$ is smoothly embedded except possibly for a singular set $\text{sing } V$ of dimension $\leq n - 7$, and $\text{spt } \|V\| \setminus \text{sing } V$ carries locally constant integer multiplicity. We then establish:

Theorem 1.1. *Let $2 \leq n \leq 6$ and let N be a compact manifold of dimension $n + 1$. There exists a set of Riemannian metrics on N that is generic in the sense of Baire category (specifically, the bumpy metrics of [34]) such that any varifold V obtained by the minmax in Section 2 is the multiplicity-1 varifold associated to a smooth (embedded) closed minimal hypersurface M .*

When $n = 2$, Theorem 1.1 follows from the more general result in [5] (that applies under a uniform bound on the Morse index and on the energy). The multiplicity-1 information in Theorem 1.1 implies immediately that M in Theorem 1.1 is the common boundary of two disjoint open sets and therefore it is a two-sided hypersurface; moreover, it has Morse index 1 (by the bumpy metric assumption). In particular, with Theorem 1.1 we implicitly obtain a slender proof of the following geometric result (that also follows from [37], which employs a multi-parameter Almgren–Pitts framework).

Corollary 1.1. *Let $2 \leq n \leq 6$. In any compact Riemannian manifold of dimension $n + 1$ endowed with a bumpy (thus generic) metric there exists a (smoothly embedded) closed, two-sided minimal hypersurface, with Morse index 1.*

Remark 1.1. It will also follow, under the assumptions of Theorem 1.1, that the critical points u_ε employed in the construction of V can themselves be obtained as mountain pass solutions, for the class of admissible paths that join two (suitably chosen) strictly stable critical points; moreover, there is an optimal path, i.e. one for which the maximum of \mathcal{E}_ε is achieved at u_ε .

In Section 2 we set up the minmax construction sketched above. In Section 3 we identify the key estimate (Proposition 3.1) to which Theorem 1.1 can be reduced. The proof of this estimate will be given in Section 5, after some preliminary work in Section 4. Once the minmax in Section 2 has been identified and carried out, the proof of Theorem 1.1 is a variant of the one developed by the author in [2], in which positiveness of the Ricci curvature is assumed for compact Riemannian manifolds of dimension 3 or higher. In the present setting, we rely on the dimensional restriction in order to have the smoothness of the support of the minmax varifold, and the metric assumption to have that smooth minimal hypersurfaces admit no non-trivial Jacobi fields (these is true for the so-called bumpy metrics, proved to be generic by White in [34]). Moreover, we rely on the recent result [10] by Guaraco–Marques–Neves, which implies that the orientable double cover of any given smooth minmax minimal hypersurface, onto which index-1 Allen–Cahn solutions accumulate, cannot be strictly stable (and hence it is unstable under a bumpy metric assumption). With [10] in mind, the statement of Theorem 1.1 could be viewed as an Allen–Cahn counterpart of the one in [19, Section 1.6] on one-parameter Almgren–Pitts minmax.

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2 The minmax construction

Let N be a compact Riemannian manifold of dimension $n + 1$, $n \geq 2$. For $\varepsilon \in (0, 1)$ consider the Allen–Cahn energy

$$\mathcal{E}_\varepsilon(u) = \frac{1}{2\sigma} \int_N \varepsilon \frac{|\nabla u|^2}{2} + \frac{W(u)}{\varepsilon}$$

on the Hilbert space $W^{1,2}(N)$; here W is a C^3 “double well” potential, with (exactly) three critical points, two non-degenerate minima at ± 1 and a local maximum at 0, with (exactly) two zeroes of W'' (one between -1 and 0, one between 0 and 1) and with quadratic growth to ∞ at $\pm\infty$; the normalisation constant σ is $\sigma = \int_{-1}^1 \sqrt{W(t)/2} dt$. A customary choice for the potential is $W(x) = \frac{(1-x^2)^2}{4}$, suitably modified (to have quadratic growth) outside $[-2, 2]$. We recall that the Euler–Lagrange equation for \mathcal{E}_ε is the semi-linear elliptic PDE $\varepsilon \Delta u - \frac{W'(u)}{\varepsilon} = 0$ (where Δ denotes the Laplace–Beltrami operator on N), and that the second variation of \mathcal{E}_ε at u is given by the quadratic form $\mathcal{E}_\varepsilon''(u)(\phi, \phi) = \frac{1}{2\sigma} \int_N \varepsilon |\nabla \phi|^2 + \frac{W''(u)}{\varepsilon} \phi^2$ for $\phi \in C^\infty(N)$. Stability amounts to $\mathcal{E}_\varepsilon''(u)(\phi, \phi) \geq 0$ for all ϕ , while strict stability means $\mathcal{E}_\varepsilon''(u)(\phi, \phi) > 0$ for all $\phi \neq 0$.

For any continuous path in $W^{1,2}(N)$ that starts at the constant -1 and ends at the constant $+1$ there exists $\alpha > 0$ such that the maximum of \mathcal{E}_ε on the path is $\geq \alpha$. This is proved in [7]. (In fact the constant α is independent of ε .) The constants ± 1 are strictly stable critical points of \mathcal{E}_ε (they are also the only global minimizers and $\mathcal{E}_\varepsilon(\pm 1) = 0$).

For any strictly stable critical point v of \mathcal{E}_ε there exists a neighbourhood of v in $W^{1,2}(N)$ in which v achieves the (strict) minimum of \mathcal{E}_ε . This follows from the Morse–Palais lemma (see e.g. [14, Lemma 7.3.1]) for non-degenerate critical points of smooth functionals on Banach spaces. Consider a continuous path $\gamma : [a, b] \rightarrow W^{1,2}(N)$ such that $\gamma(a)$ and $\gamma(b)$ are strictly stable critical points of \mathcal{E}_ε . Then the maximum of \mathcal{E}_ε

on γ has to be strictly greater than $\max\{\gamma(a), \gamma(b)\} + \delta$, where $\delta > 0$ is independent of γ .

For any pair of strictly stable critical points v_1, v_2 of \mathcal{E}_ε we define the class of paths Γ_{v_1, v_2} to be the collection of continuous paths $\gamma : [a, b] \rightarrow W^{1,2}(N)$ with endpoints $\gamma(a), \gamma(b)$ respectively equal to v_1 and v_2 . The previous considerations guarantee the validity of the following “mountain pass condition”: there exists a value $C_{v_1, v_2} \in \mathbb{R}$ with $C_{v_1, v_2} > \max\{\mathcal{E}_\varepsilon(v_1), \mathcal{E}_\varepsilon(v_2)\}$ and such that for every $\gamma \in \Gamma_{v_1, v_2}$ we have $\max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma_t) \geq C_{v_1, v_2}$. Moreover, the Palais–Smale condition is satisfied by \mathcal{E}_ε (by the argument in [7, Proposition 4.4 (ii)]). This allows the use of a standard mountain pass theorem and yields the existence of a minmax solution to $\mathcal{E}_\varepsilon' = 0$ with Morse index ≤ 1 and whose Allen–Cahn energy realises the minmax value $\min_{\gamma \in \Gamma_{v_1, v_2}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma_t)$. (For example, see [29].)

Given $\varepsilon \in (0, 1)$ we will denote by \mathcal{S}_ε the collection of strictly stable critical points of \mathcal{E}_ε on N . We will consider, for any $v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon$, $v_\varepsilon^1 \neq v_\varepsilon^2$, the admissible class of paths $\Gamma_{v_\varepsilon^1, v_\varepsilon^2}$: the mountain pass theorem yields (as just described) a critical point $u_{(v_\varepsilon^1, v_\varepsilon^2)}$ (of \mathcal{E}_ε) with Morse index ≤ 1 and with

$$\mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}) = \min_{\gamma \in \Gamma_{v_\varepsilon^1, v_\varepsilon^2}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma_t).$$

We now “optimise” the choice of the valley points $v_\varepsilon^1, v_\varepsilon^2$: as $v_\varepsilon^1 \neq v_\varepsilon^2$ vary in \mathcal{S}_ε , we consider the “infimum of the minmax values”, namely

$$\inf_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}) = \inf_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \min_{\gamma \in \Gamma_{v_\varepsilon^1, v_\varepsilon^2}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma_t);$$

we will now check that there exists a critical point u_ε of \mathcal{E}_ε such that

$$\mathcal{E}_\varepsilon(u_\varepsilon) = \inf_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}). \quad (1)$$

Indeed, taking an infimizing sequence $(v_\varepsilon^1, v_\varepsilon^2)_\ell$ for $\ell \rightarrow \infty$, we have a uniform bound on $\mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell})$ along the sequence and thus a uniform $W^{1,2}$ bound on $u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}$; we first extract a weak $W^{1,2}$ -limit of $u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}$, as $\ell \rightarrow \infty$, that we denote by u_ε ; by passing to the limit in the weak version of $\mathcal{E}_\varepsilon' = 0$ we obtain that u_ε is a weak solution to the Allen–Cahn equation; then we show that the convergence to u_ε is strong in $W^{1,2}$ by the stationarity assumption $\mathcal{E}_\varepsilon'(u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}) = 0$ (the computation is again the same as in [7, Proposition 4.4 (ii)]). Elliptic theory guarantees smoothness of u_ε and the fact that it solves $\mathcal{E}_\varepsilon'(u_\varepsilon) = 0$ in the strong sense. Moreover, the minimizing sequence $u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}$ converges (as $\ell \rightarrow \infty$) to u_ε in $C^k(N)$ for any $k \in \mathbb{N}$, by elliptic estimates, and $\mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}) \rightarrow \mathcal{E}_\varepsilon(u_\varepsilon)$.

We notice that u_ε has Morse index ≤ 1 ; this follows from the Rayleigh quotient characterisation of the eigenvalues (see e.g. [11, (3.21)]), from the strong convergence of $u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}$ to u_ε and from the fact that each $u_{(v_\varepsilon^1, v_\varepsilon^2)_\ell}$ has Morse index ≤ 1 for each ℓ . (It suffices to check that if $f_\ell \rightarrow f_\infty$ in C^2 and $\mathcal{E}'_\varepsilon(f_\ell) = 0$ for $\ell \in \mathbb{N} \cup \{\infty\}$, denoting by $\lambda_p^{f_\ell}$ the p -th eigenvalue of the Jacobi operator associated to \mathcal{E}_ε and f_ℓ , for $\ell \in \mathbb{N} \cup \{\infty\}$, then $\limsup_{\ell \rightarrow \infty} \lambda_p^{f_\ell} \leq \lambda_p^{f_\infty}$.)

Associated varifolds. In order to produce candidate minimal hypersurfaces (i.e. stationary integral varifolds) we follow the construction in [12]. Given a smooth function

$u : N \rightarrow \mathbb{R}$ we let $w = \Phi(u)$, where $\Phi(s) = \int_0^s \sqrt{W(t)/2} dt$. Recall that σ denotes the normalization constant $\int_{-1}^1 \sqrt{W(t)/2} dt$. Then we define the n -varifold

$$V^u(A) = \frac{1}{\sigma} \int_{-\infty}^{\infty} V_{\{w=t\}}(A) dt,$$

where $A \subset G_n(N)$ and $V_{\{w=t\}}$ denotes, for a.e. t , the varifold of integration on the (smooth) level set $\{w = t\}$. If, for $\varepsilon = \varepsilon_j \rightarrow 0^+$, the functions u_ε are critical points of \mathcal{E}_ε and $\mathcal{E}_\varepsilon(u_\varepsilon)$ is uniformly bounded, then the analysis in [12] gives that V^{u_ε} converge subsequentially, as $\varepsilon \rightarrow 0$, to an integral n -varifold V with vanishing first variation. Moreover $\mathcal{E}_\varepsilon(u_\varepsilon) \rightarrow \|V\|(N)$, the total mass of V .

Upper and lower energy bounds. We have positive upper and lower bounds on $\mathcal{E}_\varepsilon(u_\varepsilon)$ as $\varepsilon \rightarrow 0$, for the critical points u_ε constructed in (1). For the upper bound this follows from the upper bound (independent of ε) obtained in [7] for $\limsup_{\varepsilon \rightarrow 0} c_\varepsilon$, where c_ε is the minmax value obtained by employing the class of paths $\Gamma_{-1,+1}$, together with the infimum characterisation of u_ε in (1). The lower bound follows from the lower bound obtained in [7] for $\liminf_{\varepsilon \rightarrow 0} c_\varepsilon$, together with the following observation.

If $\mathcal{E}_\varepsilon(v_\varepsilon) \rightarrow 0$ for a sequence of critical points ($\mathcal{E}_\varepsilon'(v_\varepsilon) = 0$) with $\varepsilon = \varepsilon_j \rightarrow 0^+$, then for all sufficiently large j we have $v_\varepsilon \equiv -1$ or $v_\varepsilon \equiv +1$.

This is proved by the following argument, as in [3]. Note, first of all, that it suffices to prove that if $\mathcal{E}_\varepsilon(v_\varepsilon) \rightarrow 0$ then $\{v_\varepsilon = 0\} = \emptyset$ for sufficiently small ε ; then the maximum principle gives the conclusion $v_\varepsilon \equiv -1$ or $v_\varepsilon \equiv +1$ (by employing constant functions as barriers). Arguing by contradiction, we let $x_j \in \{v_{\varepsilon_j} = 0\}$ for j in a subsequence. Working in normal coordinates in a geodesic ball $B_\rho(x_j)$ centred at x_j and with $\rho \in (0, \text{inj}(N))$, we define $\tilde{v}_j(y) = v_{\varepsilon_j}(\varepsilon_j y + x_j)$. The function \tilde{v}_j is defined on the ball $B_{\rho/\varepsilon_j}(0) \subset \mathbb{R}^{n+1}$, which is endowed with the pull-back metric (from $B_\rho(x_j)$). Sending $j \rightarrow \infty$ we obtain an entire solution $v : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ to $\mathcal{E}'_1(v) = 0$ with $v(0) = 0$ and $\mathcal{E}_1(v) = 0$, contradiction. (Here \mathbb{R}^{n+1} is endowed with the Euclidean metric, since the metrics on $B_{\rho/\varepsilon_j}(0)$ converge to the Euclidean one on any compact set).

The above observation equivalently says that there exist $\varepsilon_0 > 0$ and $C > 0$ such that if v_ε is a critical point of \mathcal{E}_ε for $\varepsilon \leq \varepsilon_0$ and $v_\varepsilon \not\equiv -1$, $v_\varepsilon \not\equiv +1$, then $\mathcal{E}_\varepsilon(v_\varepsilon) \geq C$. For the construction above (see the discussion preceding (1)), if $\varepsilon \leq \varepsilon_0$ and at least one between $v_\varepsilon^1, v_\varepsilon^2$ is not ± 1 , then we have $\mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}) \geq \max\{\mathcal{E}_\varepsilon(v_\varepsilon^1), \mathcal{E}_\varepsilon(v_\varepsilon^2)\} \geq C > 0$. If $v_\varepsilon^1, v_\varepsilon^2$ are the constants $-1, +1$, on the other hand, then by [7] for all sufficiently small ε we have $\mathcal{E}_\varepsilon(u_{(-1,+1)}) \geq \frac{1}{2} \liminf_{\varepsilon \rightarrow 0} c_\varepsilon > 0$. Therefore a positive lower bound for $\liminf_{\varepsilon \rightarrow 0} \mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)})$ exists.

Varifold limit and regularity. Following [7] we consider any (subsequential) varifold limit of V^{u_ε} as $\varepsilon \rightarrow 0$; for $n \geq 2$ we get that $\text{spt} \|V\|$ is a smoothly embedded minimal hypersurface except possibly for a closed singular set of dimension $\leq n-7$. This follows upon noticing that the uniform bound on the Morse index of u_ε allows to reduce *locally* in N to the case in which u_ε are stable so that the regularity results in [32], [35] apply. Note that $V \neq 0$ by the lower energy bound on u_ε . In other words,

$$V = \sum_{j=1}^K q_j |M_j|, \tag{2}$$

with $q_j \in \mathbb{N}$ and M_j minimal and smoothly embedded away from a set of dimension $\leq n-7$ ($|M_j|$ denotes the multiplicity-1 varifold of integration on M_j).

Remark 2.1. The observation that u_ε has Morse index ≤ 1 simplifies the exposition, however an alternative way to carry out the construction would be to consider a diagonal sequence of $u_{(v_\varepsilon^1, v_\varepsilon^2)}$ as $\varepsilon \rightarrow 0$ such that the varifolds $V^{u_{(v_\varepsilon^1, v_\varepsilon^2)}}$ converge to the same limit V as the varifolds V^{u_ε} . Then the regularity of V could be obtained from the knowledge that the Morse index of $u_{(v_\varepsilon^1, v_\varepsilon^2)}$ is ≤ 1 .

The previous construction can be carried out for any $n \geq 2$ and any Riemannian metric on N . In the case $n \leq 6$ that we will be interested in, all the M_j 's obtained in (2) are completely smooth. The scope, in the remainder of this work, is to prove that if $2 \leq n \leq 6$ and the metric is bumpy, then all the multiplicities q_j in (2) must be equal to 1. This will establish Theorem 1.1.

Remark 2.2. It is also true (see Remark 5.7) that if $2 \leq n \leq 6$ and the metric is bumpy, then u_ε itself can be obtained, for all sufficiently small ε , by a minmax in the class $\Gamma_{v_\varepsilon^1, v_\varepsilon^2}$ for a suitable choice of $v_\varepsilon^1 \neq v_\varepsilon^2 \in \mathcal{S}_\varepsilon$, in particular

$$\mathcal{E}_\varepsilon(u_\varepsilon) = \min_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \mathcal{E}_\varepsilon(u_{(v_\varepsilon^1, v_\varepsilon^2)}) = \min_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \min_{\gamma \in \Gamma_{v_\varepsilon^1, v_\varepsilon^2}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma_t).$$

3 Key estimate and proof of Theorem 1.1

Theorem 1.1 will follow mainly from the following key estimate (in which the dimensional restriction is absent in view of the fact that M is assumed to be smoothly embedded).

Proposition 3.1. *Let N be a compact Riemannian manifold of dimension $n + 1$ with $n \geq 2$ and let $M \subset N$ be a smoothly embedded, closed minimal hypersurface, whose oriented double cover is unstable. There exists $\varsigma > 0$ (depending only on $M \subset N$) and $\varepsilon_0 > 0$ such that for every $\varepsilon < \varepsilon_0$ there exist a stable Allen–Cahn critical point v_ε ($\mathcal{E}'_\varepsilon(v_\varepsilon) = 0$, $\mathcal{E}''_\varepsilon(v_\varepsilon) \geq 0$) and a continuous path $\gamma : [a, b] \rightarrow W^{1,2}(N)$ with $\gamma(a) \equiv -1$ and $\gamma(b) = v_\varepsilon$ such that*

$$\max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma(t)) \leq 2\mathcal{H}^n(M) - \varsigma.$$

Additionally, we will need the following lemma, whose proof (see Appendix A) follows from Lemma A.1 and from [10].

Lemma 3.1. *Let N be a compact Riemannian manifold of dimension $n + 1$ with $2 \leq n \leq 6$, endowed with a bumpy metric. For any $K > 0$ there exists $\epsilon_0 > 0$ such that if $\varepsilon \in (0, \epsilon_0)$ and $v_\varepsilon : N \rightarrow \mathbb{R}$ is a stable critical point of \mathcal{E}_ε with $\mathcal{E}_\varepsilon(v_\varepsilon) \leq K$, then v_ε is strictly stable.*

proof of Theorem 1.1 assuming Proposition 3.1 and Lemma 3.1. We recall that the varifold $V = \sum_j q_j |M_j|$ obtained in Section 2 satisfies $\|V\|(N) = \lim \mathcal{E}_\varepsilon(u_\varepsilon)$ for $\varepsilon = \varepsilon_j \rightarrow 0^+$. It follows from [10] that if for some j_0 the oriented double cover of M_{j_0} is stable, then $q_{j_0} = 1$. Indeed, since each M_{j_0} is smoothly embedded we can choose a tubular neighbourhood T_{j_0} of it such that $\text{spt } \|V\| \cap T_{j_0} = M_{j_0}$. The oriented double cover of M_{j_0} is strictly stable by the bumpy metric assumption, so using [10] in T_{j_0} we conclude that the associated varifolds $V^{u_\varepsilon} \llcorner T_{j_0}$ converge with multiplicity 1. Since $V^{u_\varepsilon} \rightarrow V = \sum_j q_j |M_j|$ on N , we conclude that $q_{j_0} = 1$. On the other hand, Proposition 3.1 and Lemma 3.1 imply that if j_0 is such that M_{j_0} has unstable double cover, then q_{j_0} must be 1. Indeed, if that were not the case, we could choose

$M = M_{j_0}$ in Proposition 3.1 (and $K = 2\mathcal{H}^n(M_{j_0})$ in Lemma 3.1), obtaining, for $\varepsilon < \min\{\varepsilon_0, \varepsilon_0\}$, the existence of a path $\gamma \in \Gamma_{-1, v_\varepsilon}$, for some strictly stable v_ε , such that $\max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma(t)) \leq 2\mathcal{H}^n(M_{j_0}) - \varsigma$; a fortiori,

$$\min_{\gamma \in \Gamma_{-1, v_\varepsilon}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma(t)) \leq 2\mathcal{H}^n(M_{j_0}) - \varsigma$$

for $\varepsilon < \min\{\varepsilon_0, \varepsilon_0\}$, and

$$\mathcal{E}_\varepsilon(u_\varepsilon) = \inf_{\substack{v_\varepsilon^1, v_\varepsilon^2 \in \mathcal{S}_\varepsilon \\ v_\varepsilon^1 \neq v_\varepsilon^2}} \min_{\gamma \in \Gamma_{v_\varepsilon^1, v_\varepsilon^2}} \max_{t \in [a, b]} \mathcal{E}_\varepsilon(\gamma(t)) \leq 2\mathcal{H}^n(M_{j_0}) - \varsigma$$

for $\varepsilon < \min\{\varepsilon_0, \varepsilon_0\}$. Since $\|V\|(N) = \lim_{\varepsilon \rightarrow 0} \mathcal{E}_\varepsilon(u_\varepsilon)$ (where $\varepsilon = \varepsilon_j$ is any subsequence that led to (2)) and $\|V\|(N) \geq q_{j_0} \mathcal{H}^n(M_{j_0})$ we conclude that $q_{j_0} = 1$. \square

4 Preliminary results

4.1 Truncated 1-dimensional Allen–Cahn solutions

We denote by $\mathbb{H}(r)$ the monotonically increasing solution to $u'' - W'(u) = 0$ such that $\lim_{r \rightarrow \pm\infty} \mathbb{H}(r) = \pm 1$, with $\mathbb{H}(0) = 0$. (For the standard potential $\frac{(1-x^2)^2}{4}$, we have $\mathbb{H}(r) = \tanh\left(\frac{r}{\sqrt{2}}\right)$.) Then the functions $\mathbb{H}(-r)$ and $\mathbb{H}(\pm r + z)$ also solve $u'' - W'(u) = 0$ (for any $z \in \mathbb{R}$). The rescaled function $\mathbb{H}_\varepsilon(r) = \mathbb{H}\left(\frac{r}{\varepsilon}\right)$ solves $\varepsilon u'' - \frac{W'(u)}{\varepsilon} = 0$.

We will make use of truncated versions of \mathbb{H}_ε (see [5], [33], [2] for details that are omitted below): for $\Lambda = 3|\log \varepsilon|$ define

$$\overline{\mathbb{H}}(r) = \chi(\Lambda^{-1}r) \mathbb{H}(r) \pm (1 - \chi(\Lambda^{-1}r)),$$

where \pm is chosen respectively on $r > 0$, $r < 0$ and χ is a smooth bump function that is $+1$ on $(-1, 1)$ and has support equal to $[-2, 2]$. With this definition, $\overline{\mathbb{H}} = \mathbb{H}$ on $(-\Lambda, \Lambda)$, $\overline{\mathbb{H}} = -1$ on $(-\infty, -2\Lambda]$, $\overline{\mathbb{H}} = +1$ on $[2\Lambda, \infty)$. Moreover $\overline{\mathbb{H}}$ solves $\|\overline{\mathbb{H}}'' - W'(\overline{\mathbb{H}})\|_{C^2(\mathbb{R})} \leq C\varepsilon^3$, for $C > 0$ independent of ε . (Note also that $\overline{\mathbb{H}}'' - W'(\overline{\mathbb{H}}) = 0$ away from $(-2\Lambda, -\Lambda) \cup (\Lambda, 2\Lambda)$.)

For $\varepsilon < 1$, we rescale these truncated solutions and let $\overline{\mathbb{H}}^\varepsilon(\cdot) = \overline{\mathbb{H}}\left(\frac{\cdot}{\varepsilon}\right)$. Note that $\overline{\mathbb{H}}^\varepsilon$ solves $\|\varepsilon \overline{\mathbb{H}}'' - \frac{W'(\overline{\mathbb{H}})}{\varepsilon}\|_{C^2(\mathbb{R})} \leq C\varepsilon^2$ and $\varepsilon \overline{\mathbb{H}}'' - \frac{W'(\overline{\mathbb{H}})}{\varepsilon} = 0$ on $(-\varepsilon\Lambda, \varepsilon\Lambda)$, $\overline{\mathbb{H}}^\varepsilon = +1$ on $(2\varepsilon\Lambda, \infty)$, $\overline{\mathbb{H}}^\varepsilon = -1$ on $(-\infty, -2\varepsilon\Lambda)$. Using these facts and recalling that $\mathcal{E}_\varepsilon(\mathbb{H}_\varepsilon) = 1$ we get $\mathcal{E}_\varepsilon(\overline{\mathbb{H}}^\varepsilon) = 1 + O(\varepsilon^2)$. (The function $O(\varepsilon^2)$ is bounded by $C\varepsilon^2$ for all ε sufficiently small, with C independent of ε .)

For $\varepsilon \in (0, 1)$ we define the function $\Psi : \mathbb{R} \rightarrow \mathbb{R}$ (for notational convenience we do not indicate explicitly the dependence on the chosen ε in both Ψ and Ψ_t below)

$$\Psi(r) = \begin{cases} \overline{\mathbb{H}}^\varepsilon(r + 2\varepsilon\Lambda) & r \leq 0 \\ \overline{\mathbb{H}}^\varepsilon(-r + 2\varepsilon\Lambda) & r > 0 \end{cases}. \quad (3)$$

This function is smooth thanks to the fact that all derivatives of $\overline{\mathbb{H}}^\varepsilon$ vanish at $\pm 2\varepsilon\Lambda$. Moreover let Ψ_t denote the following family of functions, with $\Psi_0 = \Psi$ and $t \in [0, \infty)$:

$$\Psi_t(r) := \begin{cases} \overline{\mathbb{H}}^\varepsilon(r + 2\varepsilon\Lambda - t) & r \leq 0 \\ \overline{\mathbb{H}}^\varepsilon(-r + 2\varepsilon\Lambda - t) & r > 0 \end{cases}. \quad (4)$$

We have $\Psi_0 = \Psi$. Moreover, $\Psi_t \equiv -1$ for $t \geq 4\varepsilon\Lambda$. For $t \in (0, 4\varepsilon\Lambda)$ the function Ψ_t is equal to -1 for r such that $|r| \geq 4\varepsilon\Lambda - t$. The functions Ψ_t form a family of even, Lipschitz functions. The energy $\mathcal{E}_\varepsilon(\Psi_t)$ is decreasing in t : indeed, the energy contribution of the “tails” is zero and we have $\mathcal{E}_\varepsilon(\Psi_t) = \mathcal{E}_\varepsilon(\Psi) - \frac{1}{2\sigma} \int_{-t}^t \varepsilon \frac{|\Psi'|^2}{2} + \frac{W(\Psi)}{\varepsilon}$.

4.2 Large unstable region

Let N be a Riemannian manifold of dimension $n + 1$ with $n \geq 2$. Let $M \subset N$ be a smooth closed minimal hypersurface such that its oriented double cover \tilde{M} is unstable. We denote by $\iota : \tilde{M} \rightarrow N$ the minimal smooth immersion induced by the projection of \tilde{M} onto M . Let ν be a choice (on \tilde{M}) of unit normal to the immersion ι .

Let $\omega > 0$ be the semi-width of a well-defined tubular neighbourhood of M in N , with $\omega < \text{inj}(N)$. Define the map $\tilde{M} \times [0, \omega) \rightarrow N$ given by

$$(p, s) \rightarrow \exp_{\iota(p)}(s\nu(p)),$$

where $\exp_{\iota(p)}$ denotes the exponential map at $\iota(p)$ (from a ball in the tangent to N at $\iota(p)$ to a geodesic ball in N centred at $\iota(p)$). This is a bijective diffeomorphism on $\tilde{M} \times (0, \omega)$ (the map is $2 - 1$ on $\tilde{M} \times \{0\}$). We will endow $\tilde{M} \times [0, \omega)$ with the pull-back metric from N . This metric is of the form $g_s + ds^2$, where g_s is a Riemannian metric on $\tilde{M} \times \{s\}$. In the following, \tilde{M} will be implicitly assumed to be a Riemannian n -dimensional manifold with the metric g_0 . By abuse of notation we will write $\iota + s\nu$ in place of $\exp_{\iota(p)}(s\nu(p))$ and also $\iota + \varphi\nu$ in place of $\exp_{\iota(p)}(\varphi(p)\nu(p))$, where $0 \leq \varphi < \omega$ is a smooth function on \tilde{M} .

The quotient $(\tilde{M} \times [0, \omega)) / \sim$, where $(p_1, s_1) \sim (p_2, s_2)$ if and only if $\iota(p_1) = \iota(p_2)$ and $s_1 = s_2 = 0$, is the (open) tubular neighbourhood of M of semi-width ω . For notational convenience we will denote it by $\tilde{M} \overset{\sim}{\times} [0, \omega)$. Whenever we define functions on $\tilde{M} \times [0, \omega)$ they will be always even in $(p, 0) \in \tilde{M} \times \{0\}$, so that they can be identified with functions in $\tilde{M} \overset{\sim}{\times} [0, \omega)$. (Lifts of functions on M are exactly even functions on \tilde{M} . By lift of $\rho : M \rightarrow \mathbb{R}$ we mean the function $\tilde{\rho}$ on \tilde{M} defined by $\tilde{\rho}(p) = \rho(\iota(p))$.)

We will now consider deformations of ι with initial velocity dictated by a function $\varphi \in C^2(\tilde{M})$. For $\varphi \in C^2(\tilde{M})$, consider the one-parameter family of immersions $\iota_t : \tilde{M} \rightarrow N$ defined, for $t \in (-\delta_0, \delta_0)$ (for some $\delta_0 \in (0, \frac{\omega}{\max \varphi})$), by $(p, t) \rightarrow \exp_{\iota(p)}(t\varphi(p)\nu(p))$, for $p \in \tilde{M}$. The first variation of area at $t = 0$ is 0 because M is minimal. The second variation of area at $t = 0$ is given by the well-known expression

$$\int_{\tilde{M}} |\nabla \varphi|^2 d\mathcal{H}^n - \int_{\tilde{M}} \varphi^2 (|A|^2 + \text{Ric}_N(\nu, \nu)) d\mathcal{H}^n, \quad (5)$$

where A denotes the second fundamental form of ι , ∇ the gradient on \tilde{M} (with respect to g_0), Ric_N the Ricci tensor of N and $d\mathcal{H}^n$ coincides with $d\text{vol}_{g_0}$.

Lemma 4.1 (unstable region). *Let $M \subset N$ be as above. There exist a geodesic ball $D \subset M$ with radius R_0 , with $0 < R_0 < \text{inj}(N)$, and a function $\tilde{\phi} \in C_c^2(\tilde{M})$ with $\text{supp } \tilde{\phi} \subset \tilde{M} \setminus \iota^{-1}(D)$ and $\tilde{\phi} \geq 0$, such that*

$$\int_{\tilde{M}} |\nabla \tilde{\phi}|^2 d\mathcal{H}^n - \int_{\tilde{M}} \tilde{\phi}^2 (|A|^2 + \text{Ric}_N(\nu, \nu)) d\mathcal{H}^n < 0. \quad (6)$$

Proof. Let η be the first eigenfunction (suitably normalized, e.g. to have unit L^2 norm) of the Jacobi operator \mathcal{L} (on \tilde{M}) and let λ be the associated eigenvalue:

$$\mathcal{L}(\eta) := \Delta\eta + (|A|^2 + \text{Ric}_N(\nu, \nu))\eta = \lambda\eta, \quad \lambda > 0,$$

where Δ is the Laplace–Beltrami operator on \tilde{M} . By standard theory η is smooth and never vanishing, so we will assume that it is strictly positive on \tilde{M} . The positiveness of λ follows from the fact that $\iota : \tilde{M} \rightarrow N$ is an unstable minimal immersion; we have $\int_{\tilde{M}} |\nabla\eta|^2 d\mathcal{H}^n - \int_{\tilde{M}} \eta^2 (|A|^2 + \text{Ric}_N(\nu, \nu)) d\mathcal{H}^n = -\lambda \int_{\tilde{M}} \eta^2 < 0$. Pick an arbitrary $b \in M$ and let $\{b_1, b_2\} = \iota^{-1}(b)$. By standard capacity properties, given $\delta > 0$ arbitrary there exists $\rho \in C_c^\infty(N)$, $0 \leq \rho \leq 1$, that vanishes in a neighbourhood of b and is identically one away from a (slightly larger) neighbourhood of b and such that $\int_N |\nabla\rho|^2 < \delta$. These properties of ρ imply that for δ sufficiently small it is possible to replace η by $\eta(1 - \rho \circ \iota)$ (which is still non-negative) in the previous inequality and still obtain a negative result. Then we set $\tilde{\phi} = \eta(1 - \rho \circ \iota)$. By construction there exists a geodesic ball $D \subset M$ (centred at b) contained in $\{1 - \rho = 0\}$. \square

Remark 4.1 (choice of B). For D obtained in Lemma 4.1, we choose a (geodesic) ball B in M centred at b and of radius $R < \frac{R_0}{2}$, where R_0 is the radius of D . The choices of B and $\tilde{\phi}$ will be kept until the end. We will write $\tilde{D} = \iota^{-1}(D)$ and $\tilde{B} = \iota^{-1}(B)$.

Remark 4.2. The geometric counterpart of Lemma 4.1 is that the minimal immersion ι is unstable with respect to the area functional also if we restrict to deformations that leave \tilde{B} (and B) fixed, see Remark 4.4.

4.3 Relevant immersions

We have a natural unsigned distance on $\tilde{M} \times [0, \omega)$, induced by the Riemannian metric $g_s + ds^2$; note that the unsigned distance to \tilde{M} of a point $(p, s) \in \tilde{M} \times [0, \omega)$ is given by s . The unsigned distance to \tilde{M} descends to the usual Riemannian distance to M in $\tilde{M} \overset{\times}{\sim} [0, \omega)$ and is a smooth function in $(\tilde{M} \overset{\times}{\sim} [0, \omega)) \setminus M$.

Let Π denote the nearest point projection onto M , well-defined in $\tilde{M} \overset{\times}{\sim} [0, \omega)$; with a slight abuse of notation, we will often identify this map with $\Pi(p, s) = (p, 0)$, which is defined in $\tilde{M} \times [0, \omega)$. For future purposes, we choose ω suitably small so that if x is in $\tilde{M} \overset{\times}{\sim} [0, \omega)$, then $|\ |J\Pi|(x) - 1 | \leq 2K'_A d(x, M)$ and $\left| \frac{1}{|J\Pi|(x)} - 1 \right| \leq 2K'_A d(x, M)$, where d is the Riemannian distance and K'_A is the maximum of the norm of the second fundamental form of M .

Given an immersion $\iota + \varphi\nu$, where $\varphi > 0$ is a (positive) smooth function on \tilde{M} , with $\varphi < \omega$, the image of this immersion is a two-sided embedded (closed) hypersurface, that we will denote by M_φ . We will always assume that the choice of normal to M_φ is the one for which the scalar product with $\frac{\partial}{\partial s}$ is positive.

Signed distance to M_φ . We define, on $(\tilde{M} \overset{\times}{\sim} [0, \omega)) \setminus M$, the following “signed distance to M_φ ”. Using the identification with $\tilde{M} \times (0, \omega)$, so that M_φ is identified with the graph of φ over \tilde{M} , we say that (p, s) has negative distance to M_φ if $s < \varphi(p)$ and positive distance to M_φ if $s > \varphi(p)$. The modulus of the signed distance is the unsigned distance to $\text{graph}(\varphi)$ in $\tilde{M} \times (0, \omega)$ (recall that the latter is endowed with the Riemannian metric pulled back from N). If $(p, s) \in \text{graph}(\varphi)$ then the distance extends smoothly at (p, s) with value 0. (We do not define the signed distance on M .) We will use the notation $\text{dist}(x, M_\varphi)$ to denote the signed distance of x to M_φ .

Remark 4.3 (relevant immersions). Recall the function $\tilde{\phi}$ given by Lemma 4.1. Let $\tilde{c}_0 > 0$ and $\tilde{t}_0 > 0$ be constants sufficiently small so that the following immersions are well-defined for all $c \in [0, \tilde{c}_0], t \in [0, \tilde{t}_0]$ (the constants \tilde{c}_0, \tilde{t}_0 need to be sufficiently small to ensure that the image of the immersions always lies in $\tilde{M} \overset{\times}{\sim} [0, \omega]$):

$$\begin{aligned} \tilde{M} &\rightarrow \tilde{M} \overset{\times}{\sim} [0, \omega) \\ p &\rightarrow (p, c + t\tilde{\phi}(p)) \end{aligned} \quad \text{or, equivalently, } \iota + (c + t\tilde{\phi})\nu : \tilde{M} \rightarrow N.$$

The image of this immersion is identified with

$$\text{graph} \left((c + t\tilde{\phi}) \right) \subset \tilde{M} \times [0, \omega).$$

(The immersion is not necessarily even in p .)

In view of bounds needed later, we also ensure that \tilde{t}_0 is sufficiently small to have $\tilde{t}_0 \max_{\tilde{M}} \eta < \frac{1}{4}\omega$, where η is the (positive and normalised) first eigenfunction considered in Lemma 4.1.

Note, moreover, that a suitably small choice of $\tilde{c}_0 > 0$ and $\tilde{t}_0 > 0$ additionally guarantees the following (technically useful) fact: let $0 < c_1 < \tilde{c}_0$, for every $c \in [c_1, \tilde{c}_0]$ and $t \in [0, \tilde{t}_0]$ there exists a tubular neighbourhood of semi-width c_1 of the embedded separating hypersurface $\text{graph} \left((c + t\tilde{\phi}) \right)$. (We will use this with $c_1 = 6\varepsilon |\log \varepsilon|$ in Section 5.3 in particular.)

Let B be the ball in Remark 4.1. We let $\tilde{M}_B = \tilde{M} \setminus \iota^{-1}(B)$ and $\iota_B = \iota|_{\tilde{M}_B}$ (note that \tilde{M}_B is a manifold with boundary). By abuse of notation we will write $\tilde{\phi}$ also to mean $\tilde{\phi}|_{\tilde{M}_B}$. The immersions

$$\begin{aligned} \tilde{M}_B &\rightarrow \tilde{M} \overset{\times}{\sim} [0, \omega) \\ p &\rightarrow (p, c + t\tilde{\phi}(p)) \end{aligned} \quad \text{or, equivalently, } \iota_B + (c + t\tilde{\phi})\nu : \tilde{M}_B \rightarrow N,$$

are well-defined for all $c \in [0, \tilde{c}_0], t \in [0, \tilde{t}_0]$. The image of this immersion with boundary is identified with

$$\text{graph} \left((c + t\tilde{\phi}) \Big|_{\tilde{M}_B} \right).$$

Remark 4.4. By Lemma 4.1 and Remark 4.2 there exists $t_0 \in [0, \tilde{t}_0]$ such that the area of the immersion $\iota + t\tilde{\phi}\nu : \tilde{M} \rightarrow N$ for $t \in [0, t_0]$ is strictly decreasing in t (the second derivative of area at $t = 0$ along the deformation $\iota + t\tilde{\phi}\nu$ is strictly negative). Note, moreover, that this deformation leaves B fixed, so we equivalently have the following: the area of the immersion with boundary $\iota_B + t\tilde{\phi}\nu : \tilde{M}_B \rightarrow N$ is strictly decreasing in t for $t \in [0, t_0]$ (this is a deformation of the immersion with fixed boundary; the area at $t = 0$ is $2\mathcal{H}^n(M) - 2\mathcal{H}^n(B)$).

Lemma 4.2. *Let t_0 be as in Remark 4.4. There exist $c_0 \in [0, \tilde{c}_0]$ and $\tau > 0$ such that*

- (i) *for all $c \in [0, c_0]$ and for all $t \in [0, t_0]$ the area of the immersion (with boundary) $\iota_B + (c + t\tilde{\phi})\nu : \tilde{M}_B \rightarrow N$ is $\leq 2(\mathcal{H}^n(M) - \frac{3}{4}\mathcal{H}^n(B))$;*
- (ii) *for all $c \in [0, c_0]$ the area of the immersion $\iota + (c + t_0\tilde{\phi})\nu : \tilde{M} \rightarrow N$ is $\leq 2\mathcal{H}^n(M) - \tau$.*

Proof. Let us prove that (i) holds for some $c'_0 \in [0, \tilde{c}_0]$ (in place of c_0). Argue by contradiction: if not, then there exist $c_i \rightarrow 0$ and $t_i \in [0, t_0]$ such that the area of $\iota_B + (c_i + t_i \tilde{\phi})\nu$ is $\geq 2(\mathcal{H}^n(M) - \frac{3}{4}\mathcal{H}^n(B))$ for all i . Upon extracting a subsequence we may assume $t_i \rightarrow t \in [0, t_0]$ and by continuity of the area we get that the area of $\iota_B + t\tilde{\phi}\nu$ is $\geq 2(\mathcal{H}^n(M) - \frac{3}{4}\mathcal{H}^n(B))$. This is however in contradiction with Remark 4.4, which says that this area is $\leq 2\mathcal{H}^n(M) - 2\mathcal{H}^n(B)$.

Next, let us prove that (ii) holds for some $c''_0 \in [0, \tilde{c}_0]$ (in place of c_0) and for some $\tau > 0$. By Remark 4.4 the area of $\iota + t_0\tilde{\phi}\nu$ is below $2\mathcal{H}^n(M)$ by a (strictly) positive amount; denote by 2τ this positive quantity. By continuity, there exists $c''_0 > 0$ such that for all $c \in [0, c''_0]$ the area of the immersion $\iota + (c + t_0\tilde{\phi})\nu$ is smaller than $2\mathcal{H}^n(M) - \tau$.

Choosing $c_0 = \min\{c'_0, c''_0\}$ concludes the proof. \square

Since $\iota : \tilde{M} \rightarrow N$ is a closed smooth immersion we can find a constant $K_A > 0$ such that:

(i) the modulus of the second fundamental form along the immersion $\iota + (c + t\tilde{\phi})\nu$ is $\leq K_A$ for all $c \in [0, c_0]$, $t \in [0, t_0]$;

(ii) let $M_{c,t}$ be the embedded hypersurface obtained as image of $\iota + (c + t\tilde{\phi})\nu$; the nearest point projection $\Pi_{c,t}$ from a tubular neighbourhood of $M_{c,t}$ with semi-width c , has the following bounds: $|\lvert J\Pi_{c,t}(x) - 1 \rvert| \leq K_A d(x, M_{c,t})$ and $\left| \frac{1}{\lvert J\Pi_{c,t}(x) \rvert} - 1 \right| \leq K_A d(x, M_{c,t})$, where d is the Riemannian distance. To simplify notation, we also assume that K_A is chosen to be larger than $2K'_A$, the constant that appeared in the estimates on $J\Pi$ at the beginning of this section.

The immersions in Lemma 4.2 will represent intermediate points of the path (joining -1 to a stable critical point v_ε) that we will produce in Sections 5.2, 5.3, 5.4, 5.5. The next one, instead, will be used as a barrier for the gradient flow in Section 5.4.

Consider the one-parameter deformation $\iota_t := \iota + t\varphi\nu : \tilde{M} \rightarrow N$ for $t \in (-\delta_0, \delta_0)$ for some positive $\delta_0 < \frac{\omega}{\max \varphi}$ and for some $\varphi \in C^2(\tilde{M})$. Let $H_t(p)$ denote the scalar mean curvature of ι_t at $p \in \tilde{M}$ with respect to the choice of unit normal ν_t (along ι_t) that has positive scalar product with ν . Recall that the first variation of area at time t is given by $-\int_{\tilde{M}} H_t \varphi d\text{vol}_{g_t}$ (where g_t is the metric induced by the pull-back via ι_t) and the second variation of area at $t = 0$ is given by $-\int_{\tilde{M}} \mathcal{L}(\varphi)\varphi d\text{vol}_{g_0}$. Then, using $H_0 \equiv 0$, we get $\frac{d}{dt}\Big|_{t=0} H_t(p) = \mathcal{L}(\varphi)$.

Let η be the (smooth, positive and normalised) first eigenfunction of the Jacobi operator \mathcal{L} on \tilde{M} , and $\lambda > 0$ the associated eigenvalue (see the proof of Lemma 4.1). Choosing η in place of φ in ι_t we obtain

$$\frac{d}{dt}\Big|_{t=0} H_t(p) = \mathcal{L}(\eta) = \lambda\eta(p) > 0.$$

(In other words, the mean curvature vector of ι_t will point away from M if we perturb by the first eigenfunction.) From this, we see that there exists a sufficiently small $z_1 > 0$ such that the scalar mean curvature $H_{t,\eta}$ of $\iota + t\eta\nu$ satisfies (recall that $\min_{\tilde{M}} \eta > 0$)

$$H_{t,\eta} \geq \frac{t}{2} \lambda \min_{\tilde{M}} \eta \text{ for all } t \in [0, z_1]. \quad (7)$$

We choose $z_0 \in (0, z_1]$ such that $z_0 \max_{\tilde{M}} \eta < c_0$, where c_0 was chosen in Lemma 4.2. The embedded hypersurface $\text{graph}(z_0\eta)$ (more precisely, the image of the immersion $\iota + z_0\eta\nu$, contained in $\tilde{M} \times [0, \omega)$) will be used as a barrier in Section 5.4, thanks to the mean convexity property (7).

5 Proof of Proposition 3.1

The upshot of the forthcoming sections is the following: given $M \subset N$ as in Proposition 3.1, prove that there exists $\varepsilon_0 > 0$ such that for any $\varepsilon < \varepsilon_0$ we can find a continuous path (in $W^{1,2}(N)$) that joins the constant -1 to a stable critical point of \mathcal{E}_ε and such that the maximum of \mathcal{E}_ε along this path is at most $2\mathcal{H}^n(M) - \delta$, for some $\delta > 0$ that only depends on M and N (hence independent of ε).

5.1 Choice of ε

Let B be as in Remark 4.1, c_0, t_0, τ be as in Lemma 4.2 and K_A as in the remarks that follow Lemma 4.2. The geometric quantities $\mathcal{H}^n(B)$ and τ are relevant in the forthcoming construction.

In the following sections we are going to exhibit, for every sufficiently small ε , an admissible continuous path in $W^{1,2}(N)$ with \mathcal{E}_ε suitably bounded along the whole path. We will specify now an initial choice $\varepsilon < \varepsilon_1$, which permits the construction of the $W^{1,2}$ -functions that describe the path. When we will estimate \mathcal{E}_ε along the path, we will do so in terms of geometric quantities (hence independent of ε) plus errors that will depend on ε . For sufficiently small ε , i.e. $\varepsilon < \varepsilon_2$ for a choice of $\varepsilon_2 \leq \varepsilon_1$ to be specified, these errors will be $\leq C(\varepsilon |\log \varepsilon|)$, where $C > 0$ is independent of $\varepsilon \in (0, \varepsilon_2)$; we will not keep track of the constants and will instead write $O(\varepsilon |\log \varepsilon|)$. At the very end (see Section 5.5), in order to make these errors much smaller than the geometric quantities, and thus have an effective estimate for \mathcal{E}_ε , we will revisit the smallness choice of ε : for some $\varepsilon_3 \leq \varepsilon_2$ we will get that whenever $\varepsilon < \varepsilon_3$ the errors can be absorbed in the geometric quantities. Therefore for $\varepsilon < \varepsilon_3$, we will obtain an upper bound for \mathcal{E}_ε along the path that is independent of ε .

Now we choose ε_1 ; the choices of $\varepsilon_2, \varepsilon_3$ will be made as we proceed into the forthcoming sections. We restrict to values sufficiently small, namely $\varepsilon_1 < 1/e$, so to have that $\varepsilon |\log \varepsilon|$ is decreasing as ε decreases; this guarantees that the conditions specified below on ε_1 hold also for each $\varepsilon < \varepsilon_1$. The condition $\varepsilon_1 < 1/e$, also gives that the $O(\varepsilon^2)$ -control that we have in Section 4.1 on the truncated one-dimensional solutions $\bar{\mathbb{H}}^\varepsilon$ are valid for all $\varepsilon < \varepsilon_1$. Moreover, (recall that η, c_0 and z_0 are chosen at the end of Section 4.3) we require:

(i) $12 \varepsilon_1 |\log \varepsilon_1| < \frac{c_0}{20}$ (and, from above, $12 \varepsilon_1 |\log \varepsilon_1| < \frac{1}{2}\omega$ and $\varepsilon_1 < 1/e$);

(ii) $12 \varepsilon_1 |\log \varepsilon_1| < z_0 \min_{\tilde{M}} \eta$;

(iii) $24 \varepsilon_1 |\log \varepsilon_1| < c_0 - z_0 \max_{\tilde{M}} \eta$;

(iv) $6 \varepsilon_1 |\log \varepsilon_1| < \frac{1}{4}\omega - t_0 \max_{\tilde{M}} \eta$.

(The right-hand-sides of the last two inequalities are strictly positive by the choices made in Section 4.3.) Moreover, we will need to ensure a positiveness condition for the mean curvature of the level sets of the distance function to the image of $\iota + z_0\eta\nu$ (equivalently, to $\text{graph}(z_0\eta)$, working in $\tilde{M} \times [0, \omega)$). Recall that the signed distance $\text{dist}(\cdot, \text{graph}(z_0\eta))$ is well-defined on $\tilde{M} \times (0, \omega)$ and negative at (p, s) with $s < z_0\eta(p)$ and positive at (p, s) with $s > z_0\eta(p)$; its modulus is the Riemannian distance to $\text{graph}(z_0\eta)$. To begin with, consider a tubular neighbourhood of $\text{graph}(z_0\eta)$ that does not intersect M , and denote by ω_1 its semi-width. Choose ε_1 small enough to have $12 \varepsilon_1 |\log \varepsilon_1| < \omega_1$. Now, for $d \in [-12 \varepsilon_1 |\log \varepsilon_1|, 12 \varepsilon_1 |\log \varepsilon_1|]$, consider the smooth embedded hypersurface given by the level set $\{\text{dist}(\cdot, \text{graph}(z_0\eta)) = d\}$. Let $H_{d, z_0\eta}$ denote the scalar mean curvature of $\{\text{dist}(\cdot, \text{graph}(z_0\eta)) = d\}$ (with respect to the normal on $\iota + z_0\eta\nu$ that has positive scalar product with $\frac{\partial}{\partial s}$). Recall from (7) that for

$d = 0$ (i.e. on $\text{graph}(z_0\eta)$) we have that the scalar mean curvature is $\geq \frac{z_0}{2}\lambda \min_{\tilde{M}} \eta$. By continuity we therefore ensure that, for ε_1 sufficiently small, we have, for all $d \in [-12\varepsilon_1 |\log \varepsilon_1|, 12\varepsilon_1 |\log \varepsilon_1|]$:

(v) $H_{d,z_0\eta} \geq \frac{z_0}{4}\lambda \min_{\tilde{M}} \eta$. (Implicitly, $12\varepsilon_1 |\log \varepsilon_1| < \omega_1$.)

5.2 From $\mathcal{E}_\varepsilon(-1) = 0$ to $2(|M| - |B|)$

We will work at fixed ε , with $\varepsilon < \varepsilon_1$ for ε_1 chosen to satisfy (i), (ii), (iii), (iv), (v) of Section 5.1. We will often use the shorthand notation $\Lambda = 3|\log \varepsilon|$. We recall the notation $\tilde{M} \overset{\times}{\sim} [0, \omega)$ introduced in Section 4.2 (to denote the quotient of $\tilde{M} \times [0, \omega)$) and the choices (independent of ε) made in Remark 4.1, with R denoting the radius of the (geodesic) ball B , D denoting the concentric ball with radius $2R$, and with $\tilde{D} = \iota^{-1}(D)$, $\tilde{B} = \iota^{-1}(B)$.

Definition of χ . Let $\chi_0 : M \rightarrow [0, 1]$ be a smooth function on M with compact support contained in D and such that $\chi_0 = 1$ on B and $|\nabla \chi_0| \leq 2/R$. Let $\chi : \tilde{M} \rightarrow [0, 1]$ be defined by $\chi = \iota \circ \chi_0$. Then χ is smooth and compactly supported in \tilde{D} , with $\chi = 1$ on \tilde{B} and $|\nabla \chi| \leq 2/R$.

Definition of f . We define $f(y, z)$ on $\tilde{M} \times [0, \omega)$ as follows (Ψ_t was defined in (4)):

$$f(y, z) = \Psi_{4\varepsilon \Lambda \chi(y)}(z).$$

This function is even in y , therefore it descends to a function on $\tilde{M} \overset{\times}{\sim} [0, \omega)$ and we will now check that it is there Lipschitz. Since f is smooth on $\tilde{M} \times (0, \omega)$ and equal to -1 on $\tilde{M} \times [\omega/2, \omega)$, we only need to check at $x \in M$. Let $B_\rho(x) \subset M$ be a geodesic ball, then we have a well-defined tubular neighbourhood of $B_\rho(x)$ of semi-width ω that is diffeomorphic to $B_\rho(x) \times (-\omega, \omega)$ and isometric to it, when we endow $B_\rho(x) \times (-\omega, \omega)$ with the Riemannian metric from N . The Jacobian factor measuring the distortion of this metric from the product metric is bounded by a constant that only depends on the geometric data M, N , therefore it suffices to prove the Lipschitz property with respect to the product metric. Using Fermi coordinates (a, s) in $B_\rho(x) \times (-\omega, \omega)$ the expression of f becomes $\Psi_{4\varepsilon \Lambda \chi_0(a)}(s)$ because Ψ_t is even for all t . As $\Psi_t(r)$ is Lipschitz in (t, r) , this expression shows that f is Lipschitz continuous in $B_\rho(x) \times (-\omega, \omega)$ (the Lipschitz constant depends only on Ψ , χ , and on the geometric data M, N).

Passing f to the quotient $\tilde{M} \overset{\times}{\sim} [0, \omega)$, we can extend it to N by setting it equal to -1 on $N \setminus \left(\tilde{M} \overset{\times}{\sim} [0, \omega)\right)$, (since $f = -1$ on $\tilde{M} \times [\omega/2, \omega)$). We will denote the function defined on N also by f , by abuse of notation. This function is Lipschitz on N (and actually smooth away from $(D \setminus B)$). We may think of f as of an ‘‘Allen–Cahn approximation’’ of the hypersurface-with-boundary given by the image of ι_B counted twice ($2|M| - 2|B|$ in varifold notation).

Allen–Cahn energy of f . We will show that for ε sufficiently small $\mathcal{E}_\varepsilon(f)$ is controlled by twice the area of $M \setminus B$, up to errors of type $O(\varepsilon |\log \varepsilon|)$. Recall (see Section 4.3) that Π denotes the nearest point projection onto M and that its Jacobian determinant satisfies $\left| \frac{1}{|J\Pi(x)|} - 1 \right| \leq K_A d(x, M)$ whenever $d(x, M) \leq 4\varepsilon \Lambda$ and $\varepsilon < \varepsilon_1$.

The Allen–Cahn energy of the Lipschitz function f on N is 0 outside $\tilde{M} \overset{\times}{\sim} [0, \omega)$, since $f = -1$ there. We perform the computation in $\tilde{M} \times (0, \omega)$ (removing M from

the domain does not affect the computation). Denote by $\nabla_y f$ the gradient of f with respect to the variables $y \in \tilde{M}$. Then by definition of f we have, at $(y, z) \in \tilde{M} \times (0, \omega)$:

$$\frac{\partial f}{\partial y_i} = \frac{d}{ds}(\Psi_s)(z) \Big|_{s=4\varepsilon\Lambda\chi(y)} 4\varepsilon\Lambda \frac{\partial \chi}{\partial y_i}$$

and since $|\nabla \chi| \leq \frac{2}{R}$, $|\frac{d}{ds}(\Psi_s)(z)| = |\Psi'(|z| + s)| \leq \frac{3}{\varepsilon}$, this implies ($\Lambda = 3|\log \varepsilon|$)

$$\varepsilon |\nabla_y(f)|^2 \leq \varepsilon \frac{C \varepsilon^2 |\log \varepsilon|^2}{\varepsilon^2 R^2} = \frac{C \varepsilon |\log \varepsilon|^2}{R^2}. \quad (8)$$

(Here $C = (48 \cdot 3)^2 \cdot C'$, where C' depends on $(g_0)^{-1}g_s$.) By the coarea formula (the metric is the one induced by the pull-back from N) we compute $2\sigma \mathcal{E}_\varepsilon(f)$ as follows:

$$\begin{aligned} & \int_{\tilde{B}} \left(\int_{(0,\omega)} \frac{1}{|J\Pi|} \left(\frac{\varepsilon}{2} \left| \frac{\partial}{\partial z} f \right|^2 + \frac{W(f)}{\varepsilon} \right) dz \right) dy + \\ & + \int_{\tilde{D} \setminus \tilde{B}} \left(\int_{(0,\omega)} \frac{1}{|J\Pi|} \left(\frac{\varepsilon}{2} \left| \frac{\partial}{\partial z} f \right|^2 + \frac{W(f)}{\varepsilon} \right) dz \right) dy + \\ & \quad + \int_{(\tilde{D} \setminus \tilde{B}) \times (0,\omega)} \frac{\varepsilon}{2} |\nabla_y f|^2 + \\ & + \int_{\tilde{M} \setminus \tilde{D}} \left(\int_{(0,\omega)} \frac{1}{|J\Pi|} \left(\frac{\varepsilon}{2} \left| \frac{\partial}{\partial z} f \right|^2 + \frac{W(f)}{\varepsilon} \right) dz \right) dy. \end{aligned} \quad (9)$$

The first term vanishes because $f = -1$ on the domain of integration. Thanks to (8) the third term can be made arbitrarily small by choosing ε sufficiently small; this term is $O(\varepsilon^2 |\log \varepsilon|^3)$, since the inner integrand is non-zero only on $[0, 4\varepsilon\Lambda]$. For the second term, note that the inner integral only gives a contribution in $[0, 4\varepsilon\Lambda]$ (as $f = -1$ on $[4\varepsilon\Lambda, \omega]$). Recalling the bounds on the Jacobian factor $|J\Pi|$ and the energy estimates on the one-dimensional profiles, see Section 4.1, we find

$$\begin{aligned} \text{second term of (9)} & \leq \mathcal{H}^n(\tilde{D} \setminus \tilde{B}) (1 + 4\varepsilon\Lambda K_A) \frac{\mathcal{E}_\varepsilon(\Psi_{4\varepsilon\Lambda\chi(y)})}{2} \leq \\ & \leq \mathcal{H}^n(\tilde{D} \setminus \tilde{B}) (1 + 4\varepsilon\Lambda K_A) (1 + O(\varepsilon^2)). \end{aligned}$$

Arguing similarly for the fourth term, we get the upper bound

$$\text{fourth term of (9)} \leq (1 + 4\varepsilon\Lambda K_A) \left(\mathcal{H}^n(\tilde{M}) - \mathcal{H}^n(\tilde{D}) \right) (1 + O(\varepsilon^2)).$$

Recall that f depends on ε , although for notational convenience we do not explicit the dependence; we can produce $f = f^\varepsilon$ as above for every $\varepsilon < \varepsilon_1$. The estimates obtained contain leading terms, independent of ε , and errors depending on ε . For a sufficiently small choice of $\varepsilon_2 \leq \varepsilon_1$, all the errors above, for $\varepsilon < \varepsilon_2$, are of the type $O(\varepsilon |\log \varepsilon|)$. Putting together the previous estimates we conclude that, for $\varepsilon < \varepsilon_2$,

$$\mathcal{E}_\varepsilon(f) \leq 2(\mathcal{H}^n(M) - \mathcal{H}^n(B)) + O(\varepsilon |\log \varepsilon|).$$

Path to -1 . We will now exhibit a continuous (in r) path $\{f_r\}_{r \in [0, 4\varepsilon\Lambda]}$, with $f_r \in W^{1,2}(N)$ for all r (actually, $f_r \in W^{1,\infty}(N)$), that starts at $f_0 = f$ and ends at $f_{4\varepsilon\Lambda} \equiv$

–1. Recall that $f = -1$ outside $\tilde{M} \overset{\times}{\sim} [0, \omega)$, so we set $f_r = -1$ in $N \setminus (\tilde{M} \overset{\times}{\sim} [0, \omega))$ for every r . In order to define f_r in $\tilde{M} \overset{\times}{\sim} [0, \omega)$, we will give a definition in $\tilde{M} \times [0, \omega)$, taking care that it is even on \tilde{M} and therefore passes to the quotient. (Again, by abuse of notation we call f_r both the function on $\tilde{M} \overset{\times}{\sim} [0, \omega)$ and the one on the double cover.) For $(y, z) \in \tilde{M} \times [0, \omega)$ we define f_r , for $r \in [0, 4\varepsilon\Lambda]$ by

$$f_r(y, z) = \Psi_{4\varepsilon\Lambda\chi(y)+r}(z). \quad (10)$$

For $r = 4\varepsilon\Lambda$ this function becomes constantly -1 . We can check the Lipschitz property of f_r on N as done for f earlier, by noticing that on $B_\rho(x) \times (-\omega, \omega)$ (for $B_\rho(x) \subset M$) we have the coordinate expression $f_r(a, z) = \Psi_{4\varepsilon\Lambda\chi(a)+r}(z)$ thanks to the fact that Ψ_t is even. This shows that for every $r \in [0, 4\varepsilon\Lambda]$ the function f_r is smooth on $N \setminus M$ and globally Lipschitz.

We will now check that $r \in [0, 4\varepsilon\Lambda] \rightarrow f_r \in W^{1,2}(N)$ is continuous. (In fact, the argument below establishes that this is a Lipschitz curve in $W^{1,\infty}(N)$.) For each r (compare the computation that led to (8)) we have

$$\frac{\partial f_r}{\partial y_i}(y, z) = (4\varepsilon\Lambda) \Psi'(|z| + 4\varepsilon\Lambda\chi(y) + r) \frac{\partial \chi}{\partial y_i}(y);$$

given $r_1, r_2 \in [0, 4\varepsilon\Lambda]$, for every (y, z) there exists $\zeta \in \mathbb{R}$ such that

$$\frac{\partial(f_{r_1} - f_{r_2})}{\partial y_i}(y, z) = (4\varepsilon\Lambda) \frac{\partial \chi}{\partial y_i}(y) \Psi''(\zeta) (r_1 - r_2)$$

and, in conclusion,

$$|\nabla(f_{r_1} - f_{r_2})| \leq C \frac{8\varepsilon\Lambda}{R} \sup_{\mathbb{R}} |\Psi''| |r_1 - r_2|$$

for a constant C that depends on $(g_0)^{-1}g_s$. From the definition of f_r one also obtains $\|f_{r_1} - f_{r_2}\|_{L^\infty} \leq C \sup_{\mathbb{R}} |\Psi'| |r_1 - r_2|$. The claimed continuity is proved.

To visualize the evolution in r , recall from (4) that, for every y , the two half profiles $\Psi_{4\varepsilon\Lambda\chi(y)}(z)|_{\{z>0\}}$ and $\Psi_{4\varepsilon\Lambda\chi(y)}(z)|_{\{z<0\}}$ in the normal direction to T_yM move towards each other at unit speed (creating a non smooth point at $z = 0$ on M , where the regularity of f_r is just Lipschitz).

The same computation performed in (9), this time on f_r , shows that

$$\mathcal{E}_\varepsilon(f_r) \leq (1 + O(\varepsilon |\log \varepsilon|)) 2(\mathcal{H}^n(M) - \mathcal{H}^n(B)) \quad (11)$$

for every r , i.e. the energy stays below $2(\mathcal{H}^n(M) - \mathcal{H}^n(B)) + O(\varepsilon |\log \varepsilon|)$ along this path, for all $\varepsilon < \varepsilon_2$, for a suitable choice of $\varepsilon_2 \leq \varepsilon_1$. (Moreover it reaches 0 at the end of the path.) This follows immediately upon noticing that, using the coarea formula as in (9), this time for f_r , the inner integrands that we find are controlled by those computed for f , since for every t_1 we have $\int_0^\omega \frac{\varepsilon}{2} |(\Psi_{t_1+r})'|^2 + \frac{W(\Psi_{t_1+r})}{\varepsilon} \leq \int_0^\omega \frac{\varepsilon}{2} |(\Psi_{t_1})'|^2 + \frac{W(\Psi_{t_1})}{\varepsilon}$.

Remark 5.1. The choice of ε_2 will be made several times in the forthcoming sections, always with the scope of making the errors controlled by $C\varepsilon |\log \varepsilon|$ with C independent of $\varepsilon \in (0, \varepsilon_2)$. It should be kept in mind that the specific value ε_2 might change from one instance to the next, however we make finitely many choices, therefore we implicitly assume that the correct ε_2 is the smallest of all. From now on, this remark will be tacitly applied every time we say that the errors are of the form $O(\varepsilon |\log \varepsilon|)$ for all $\varepsilon < \varepsilon_2$, for some suitably small choice of ε_2 (see the related comments in Section 5.1).

5.3 Lowering the peak

In this section we construct the portion of our path that ensures the upper bound on the maximum along the path. We keep writing $\Lambda = 3|\log \varepsilon|$. To begin with, recall the definition of signed distance (to M_φ) given in Section 4.3 and note that in the case $\varphi = 2\varepsilon\Lambda$ we have that $\text{dist}(x, M_{2\varepsilon\Lambda})$, which was defined on $\tilde{M} \times (0, \omega)$, extends by continuity to $\tilde{M} \times \{0\}$ with value $-2\varepsilon\Lambda$.

The definition of f in Section 5.2 can therefore equivalently be given as follows at $x = (y, z) \in \tilde{M} \times [0, \omega)$:

$$f(x) = \overline{\mathbb{H}}_{4\varepsilon\Lambda\chi(y)}^\varepsilon(-\text{dist}(x, M_{2\varepsilon\Lambda})),$$

where $\overline{\mathbb{H}}_s^\varepsilon(\cdot) = \overline{\mathbb{H}}^\varepsilon(\cdot - s)$ and χ is as in the previous section. Note that the expression is even in y , therefore f passes to the quotient $\tilde{M} \overset{\sim}{\times} [0, \omega)$. (The signed distance $\text{dist}(x, M_{2\varepsilon\Lambda})$ passes to the quotient as a smooth function on $\tilde{M} \overset{\sim}{\times} [0, \omega)$, this provides an alternative way to check that f is Lipschitz in $\tilde{M} \overset{\sim}{\times} [0, \omega)$). Recall that $f = -1$ in $N \setminus (\tilde{M} \overset{\sim}{\times} [0, \omega))$.

Recall the choice of $\tilde{\phi}$ in Lemma 4.1 and Remark 4.3, with $\text{supp}\tilde{\phi} \cap \tilde{D} = \emptyset$. We will now produce a continuous path $g_t, t \in [0, t_0] \rightarrow g_t \in W^{1,2}(N)$, with the property that $g_0 = f$ and g_t is an Allen–Cahn approximation of $\iota_B + (2\varepsilon\Lambda + t\tilde{\phi})\nu$ (with notation as in Section 4.3). Under the identification of $M \times [0, \omega)$ with $\tilde{M} \overset{\sim}{\times} [0, \omega)$, the latter is the hypersurface-with-boundary

$$\text{graph} \left((2\varepsilon\Lambda + t\tilde{\phi}) \Big|_{\tilde{M}_B} \right) \subset \tilde{M} \times (0, \omega),$$

where $t \in [0, t_0]$. Subsequently, we will produce a continuous (in $W^{1,2}(N)$) path $g_{t_0+s}, s \in [0, 1]$, that starts at g_{t_0} and ends at a function that is an Allen–Cahn approximation of the (closed) hypersurface

$$\text{graph} \left((2\varepsilon\Lambda + t_0\tilde{\phi}) \right).$$

The latter path starts (at $s = 0$) from the endpoint of the former path and has the geometric effect of “closing the hole” at B (at $s = 1$).

Remark 5.2. The signed distance $\text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}})$ is defined for $x \in \tilde{M} \times (0, \omega)$, using the notation $M_{C+t\tilde{\phi}}$ as in Section 4.3. We point out the following facts. Let $x \in \tilde{M} \times \{0\}$ and $x_j \rightarrow x, x_j \in \tilde{M} \times (0, \omega)$ (so that the signed distance is negative on x_j); then $\limsup_{j \rightarrow \infty} \text{dist}(x_j, M_{2\varepsilon\Lambda+t\tilde{\phi}}) \leq -2\varepsilon\Lambda$. Moreover, $\text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}})$ extends continuously, with value $-2\varepsilon\Lambda$, to $(\tilde{M} \setminus \text{supp}(\tilde{\phi})) \times \{0\}$. In particular, this continuous extension is valid on $\tilde{D} \times \{0\}$.

Definition of g_t . As done earlier, we will define the functions on $\tilde{M} \times [0, \omega)$, taking care that they pass to the quotient $\tilde{M} \overset{\sim}{\times} [0, \omega)$ and are there Lipschitz. Again, we do not distinguish the notation for the functions on $\tilde{M} \overset{\sim}{\times} [0, \omega)$ and on $\tilde{M} \times [0, \omega)$. For $t \in [0, t_0]$, we define

$$g_t(x) = \overline{\mathbb{H}}_{4\varepsilon\Lambda\chi(y)}^\varepsilon(-\text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}})) \quad \text{for } x = (y, z) \in \tilde{M} \times (0, \omega).$$

This function is smooth on $(\tilde{M} \overset{\sim}{\times} [0, \omega]) \setminus M$ and can be extended smoothly to $N \setminus M$ by setting it equal to -1 on $N \setminus (\tilde{M} \overset{\sim}{\times} [0, \omega])$, thanks to (iv) of Section 5.1.

We can now check that (for every t) g_t extends continuously across M . On the support of χ , which is contained in \tilde{D} , we have, thanks to Remark 5.2, that $-\text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}})$ is continuous and takes value $2\varepsilon\Lambda$: therefore g_t extends continuously, with value $\overline{\mathbb{H}}_{4\varepsilon\Lambda\chi(y)}^\varepsilon(2\varepsilon\Lambda)$, across D . On the complement of $\text{supp}\chi$, on the other hand, we have $\liminf_{x \rightarrow \tilde{M} \times \{0\}} -\text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}}) \geq 2\varepsilon\Lambda$: this implies that, away from the interior of the support of χ , i.e. where $\overline{\mathbb{H}}_{4\varepsilon\Lambda\chi(y)}^\varepsilon = \overline{\mathbb{H}}^\varepsilon$, the function g_t extends continuously to $(\tilde{M} \setminus \tilde{D}) \times \{0\}$ with value $+1$. More precisely, we can check that g_t is Lipschitz on N , and actually smooth in the complement of $\text{supp}(\nabla\chi) \times \{0\}$. The smoothness in $N \setminus (\overline{D} \setminus B)$ is immediate since $\overline{\mathbb{H}}^\varepsilon$, dist and χ are smooth and thanks to the fact that $\overline{\mathbb{H}}^\varepsilon$ has all derivatives vanishing at $\pm(2\varepsilon\Lambda)$. So we only need to check the Lipschitz property at an arbitrary point $x \in \overline{D} \setminus B$. Let $B_\rho(x) \subset M$ be a geodesic ball in M centred at x ; we work in a tubular neighbourhood $B_\rho(x) \times (-\omega, \omega)$ (using Fermi coordinates (y, s)). Recall that $\text{supp}\phi$ is disjoint from $\iota^{-1}(D)$ (Lemma 4.1). Then, for ρ sufficiently small, $g_t(y, s) = \Psi_{2\varepsilon\Lambda\chi(y)}(s)$, which shows that g_t is Lipschitz in this neighbourhood.

Note that $g_0 = f$ by the expression of f given in the beginning of this section. Next, we check that the path $t \in [0, t_0] \rightarrow g_t \in W^{1,2}(N)$ is continuous (in t). From the triangle inequality it follows that, for $t_1, t_2 \in [0, t_0]$, $\sup |g_{t_1} - g_{t_2}| \leq |t_2 - t_1| \sup_{\tilde{M}} |\tilde{\phi}| \sup_{\mathbb{R}} |(\overline{\mathbb{H}}^\varepsilon)'|$. Noting that $|\nabla g_t|$ is uniformly bounded, independently of t (because $|\nabla \text{dist}(x, M_{2\varepsilon\Lambda+t\tilde{\phi}})| = 1$ a.e.), and that we are on a finite measure space, the continuity of $t \rightarrow \nabla g_t \in L^2(N)$ follows from the fact that $\nabla g_t \rightarrow \nabla g_{\bar{t}}$ a.e. when $t \rightarrow \bar{t}$ (by dominated convergence). This in turn follows from the well-known fact (see e.g. [7, Section 9]) that, whenever K_i, K are compact sets with $i \in \mathbb{N}$ and K_i converge to K in the Hausdorff distance as $i \rightarrow \infty$, then $d(\cdot, K_i)$ converges pointwise a.e. to $d(\cdot, K)$ as $i \rightarrow \infty$, where d is the Riemannian distance. In our case, the compact sets in question are $\text{graph}(2\varepsilon\Lambda + t\tilde{\phi})$ (the convergence available is in fact stronger than just convergence in Hausdorff distance).

To compute $\mathcal{E}_\varepsilon(g_t)$, we argue analogously to (9) of Section 5.2, however this time we use the coarea formula in a tubular neighbourhood of $M_{c+t\tilde{\phi}}$ (or, equivalently, of $\text{graph}(c+t\tilde{\phi})$), with the function $\Pi_{c,t}$. Using the bounds on the Jacobian of $\Pi_{c,t}$, for $c = 2\varepsilon\Lambda$, see Section 4.3, we find, for every t , that $\mathcal{E}_\varepsilon(g_t) \leq \mathcal{H}^n \left(\text{graph} \left((2\varepsilon\Lambda + t\tilde{\phi}) \Big|_{\tilde{M}_B} \right) \right) + O(\varepsilon |\log \varepsilon|)$ whenever $\varepsilon < \varepsilon_2$ for a suitably small choice of ε_2 and independently of t . Therefore (for all $\varepsilon < \varepsilon_2$ and for all $t \in [0, t_0]$)

$$\mathcal{E}_\varepsilon(g_t) \leq 2 \left(\mathcal{H}^n(M) - \frac{3}{4} \mathcal{H}^n(B) \right) + O(\varepsilon |\log \varepsilon|) \quad (12)$$

thanks to the estimate in (i) of Lemma 4.2.

Definition of g_{t_0+s} : “closing the hole at B ”. We set, for $s \in [0, 1]$, for $x \in \tilde{M} \times (0, \omega)$,

$$g_{t_0+s}(x) = \overline{\mathbb{H}}_{4\varepsilon\Lambda(1-s)\chi(y)}^\varepsilon(-\text{dist}(x, M_{2\varepsilon\Lambda+t_0\tilde{\phi}})). \quad (13)$$

The argument that follows the definition of g_t above can be repeated to show that the

functions g_{t_0+s} (passed to the quotient) extend to smooth functions on $N \setminus M$ (with $g_{t_0+s} = -1$ in $N \setminus \left(\tilde{M} \overset{\times}{\sim} [0, \omega)\right)$) and extend in a Lipschitz fashion across M .

The path $s \in [0, 1] \rightarrow g_{t_0+s} \in W^{1,2}(N)$ is continuous in s by definition. Note that g_{t_0+1} is an Allen–Cahn approximation of the (closed) hypersurface graph $\left((2\varepsilon\Lambda + t_0\tilde{\phi})\right)$. As s increases from 0 to 1, the “weight carried by B ” increases from 0 to 2.

Moreover, with computations as those for g_t above, we can compute for every s the energy $\mathcal{E}_\varepsilon(g_{t_0+s})$. For sufficiently small ε_2 , we obtain that, for every $s \in [0, 1]$ and for every $\varepsilon < \varepsilon_2$, the following holds: $\mathcal{E}_\varepsilon(g_{t_0+s}) \leq \text{area of graph of } \left((2\varepsilon\Lambda + t_0\tilde{\phi})\right) + O(\varepsilon |\log \varepsilon|)$. Therefore

$$\mathcal{E}_\varepsilon(g_{t_0+s}) \leq 2\mathcal{H}^n(M) - \tau + O(\varepsilon |\log \varepsilon|) \quad (14)$$

thanks to Lemma 4.2 (and by (i) of Section 5.1), for all $s \in [0, 1]$ and for every $\varepsilon < \varepsilon_2$.

5.4 Connect to a stable critical point of \mathcal{E}_ε

To conclude, we will produce a path (continuous in $W^{1,2}(N)$) that connects g_{t_0+1} to a stable critical point of \mathcal{E}_ε . This will be achieved by a negative gradient flow, employing a barrier m . To ensure the barrier condition we need to push g_{t_0+1} a bit more “away from M ”: we define g_{t_0+1+r} for $r \in [0, c_0 - 2\varepsilon\Lambda]$ so that we reach an Allen–Cahn approximation of the immersion $\iota + (c_0 + t_0\tilde{\phi})\nu$ when $r = c_0 - 2\varepsilon\Lambda$.

For $r \in [0, c_0 - 2\varepsilon\Lambda]$ we define $g_{t_0+1+r} = -1$ on $N \setminus \left(\tilde{M} \overset{\times}{\sim} [0, \omega)\right)$ and the following for $x \in \tilde{M} \overset{\times}{\sim} [0, \omega)$:

$$g_{t_0+1+r}(x) = \overline{\mathbb{H}}^\varepsilon(-\text{dist}(x, M_{2\varepsilon\Lambda+r+t_0\tilde{\phi}})). \quad (15)$$

Note that this is well-defined and equal to $+1$ on M thanks to Remark 5.2 and the function is smooth on N for every r . Moreover, the path is continuous in r (in $W^{1,2}(N)$) and computing \mathcal{E}_ε again, we obtain (as done for g_{t_0+s}) the bound

$$\mathcal{E}_\varepsilon(g_{t_0+1+r}) \leq 2\mathcal{H}^n(M) - \tau + O(\varepsilon |\log \varepsilon|) \quad (16)$$

thanks to Lemma 4.2 (and by (i) of Section 5.1), for all $r \in [0, c_0 - 2\varepsilon\Lambda]$ and for every $\varepsilon < \varepsilon_2$ (for a suitable choice of $\varepsilon_2 \leq \varepsilon_1$).

We set $h = g_{t_0+1+c_0-2\varepsilon\Lambda}$: by definition, $h(x) = \overline{\mathbb{H}}^\varepsilon(-\text{dist}(x, M_{c_0+t_0\tilde{\phi}}))$ for $x \in \tilde{M} \overset{\times}{\sim} [0, \omega)$ and $h = -1$ on $N \setminus \left(\tilde{M} \overset{\times}{\sim} [0, \omega)\right)$. Also note that $h = +1$ on a tubular neighbourhood of M of semi-width $\frac{19}{20}c_0$, by (i) of Section 5.1.

Barrier construction. With this preparation, we are ready to construct the barrier m . This will be an Allen–Cahn approximation of $\iota + z_0\eta\nu$ (see end of Section 4.3). Recall that this is an embedded two-sided hypersurface that we also denote by $M_{z_0\eta}$ (or by $\text{graph}(z_0\eta)$, when identifying $\tilde{M} \overset{\times}{\sim} [0, \omega)$ with $\tilde{M} \times [0, \omega)$) and we defined a signed distance to $M_{z_0\eta}$ (for points in $(\tilde{M} \overset{\times}{\sim} [0, \omega)) \setminus M$) in Section 5.1. For x in $\tilde{M} \times (0, \omega) \equiv \left(\tilde{M} \overset{\times}{\sim} [0, \omega)\right) \setminus M$, we set

$$m(x) = \overline{\mathbb{H}}^\varepsilon(-\text{dist}(x, \text{graph}(z_0\eta))).$$

This function extends smoothly across M with value $+1$: indeed, in a tubular neighbourhood of M , the argument of $\overline{\mathbb{H}}^\varepsilon$ is larger than $2\varepsilon\Lambda$ by (ii) of Section 5.1 and this means that $m = +1$ in a tubular neighbourhood of M (recall that $\overline{\mathbb{H}}^\varepsilon$ is smooth with all derivatives vanishing at $\pm 2\varepsilon\Lambda$). Similarly we set $m = -1$ on $N \setminus \left(\tilde{M} \overset{\sim}{\times} [0, \omega)\right)$, which is also a smooth extension since $m = -1$ in $\tilde{M} \times (c_0, \omega)$ by (iii) of Section 5.1. The function m is thus smooth on N .

Remark 5.3. In order to use it as a barrier for the flow starting at h , we check that $m \leq h$. Recall that $-1 \leq m, h \leq +1$ by construction. By (iii) of Section 5.1, on the set where $\text{dist}(\cdot, \text{graph}(z_0\eta)) \leq 2\varepsilon\Lambda$ we have $\text{dist}(\cdot, M_{c_0+t_0\tilde{\phi}}) \leq -2\varepsilon\Lambda$. This implies that whenever $m > -1$ we have $h = +1$ and so $m \leq h$ on N .

Flow starting at m . We consider the negative \mathcal{E}_ε -gradient flow with initial condition m . Since $m = -1$ on $\{|\text{dist}(\cdot, \text{graph}(z_0\eta))| \geq 2\varepsilon\Lambda\}$ we get

$$-\mathcal{E}'_\varepsilon(m) = 0 \text{ on } \{|\text{dist}(\cdot, \text{graph}(z_0\eta))| \geq 2\varepsilon\Lambda\}.$$

Next, we compute the first variation of m with respect to \mathcal{E}_ε on the set $\{-2\varepsilon\Lambda < \text{dist}(\cdot, \text{graph}(z_0\eta)) < 2\varepsilon\Lambda\}$. We use the chain rule to express the Laplacian of m in Fermi coordinates (a, d) centred on $M_{z_0\eta}$; we have $m = \overline{\mathbb{H}}^\varepsilon(-d)$ in these coordinates. Since $|\nabla d| = 1$, using the temporary notation $\eta(x) = \overline{\mathbb{H}}^\varepsilon(-x)$, we get $\Delta(\eta(d)) = (\eta)''(d) + \eta'(d)\Delta d$. Moreover, $\Delta d = -H_{d, z_0\eta}$ with notation as in (7) and with our convention for the choice of unit normal. Therefore (in what follows, $\overline{\mathbb{H}}^\varepsilon$ and its derivatives are evaluated at $-d$)

$$\begin{aligned} -(2\sigma)\mathcal{E}'_\varepsilon(m) &= \varepsilon \Delta m - \frac{W'(m)}{\varepsilon} = \varepsilon(\overline{\mathbb{H}}^\varepsilon)'' - \frac{W'(\overline{\mathbb{H}}^\varepsilon)}{\varepsilon} + \varepsilon H_{d, z_0\eta}(\overline{\mathbb{H}}^\varepsilon)' = \\ &= O(\varepsilon^2) + \varepsilon H_{d, z_0\eta}(\overline{\mathbb{H}}^\varepsilon)', \end{aligned} \tag{17}$$

where we used the $O(\varepsilon^2)$ -bound for $\overline{\mathbb{H}}^\varepsilon$ from Section 4.1. By (v) of Section 5.1 the hypersurfaces $\{\text{dist}(\cdot, \text{graph}(z_0\eta)) = d\}$ have scalar mean curvature $H_{d, z_0\eta} \geq \frac{z_0}{4} \lambda \min_{\tilde{M}} \eta$ for $d \in [-2\varepsilon\Lambda, 2\varepsilon\Lambda]$. Moreover $0 \leq (\overline{\mathbb{H}}^\varepsilon)' \leq \frac{3}{\varepsilon}$. As a consequence, we obtain $-(2\sigma)\mathcal{E}'_\varepsilon(m) \geq O(\varepsilon^2)$. Denote by $\mu = \mu_\varepsilon > 0$ a constant such that $|O(\varepsilon^2)| < \mu$, where $O(\varepsilon^2)$ is the function appearing in (17) (we will finalize the choice of μ later). Then we consider the functional $\mathcal{F}_{\varepsilon, \mu}(u) = (2\sigma)\mathcal{E}_\varepsilon(u) - \mu \int_N u$. For the first variation of m with respect to $\mathcal{F}_{\varepsilon, \mu}$ we have

$$-\mathcal{F}'_{\varepsilon, \mu}(m) > 0.$$

This condition implies that the negative $\mathcal{F}_{\varepsilon, \mu}$ -gradient flow $\{m_t\}$ ($t \in [0, \infty)$) with initial condition $m_0 = m$ is “mean convex”, i.e. the condition $-\mathcal{F}'_{\varepsilon, \mu}(m_t) > 0$ holds for all $t \geq 0$. (By standard semi-linear parabolic theory the flow exists smoothly for all times, we refer to [2, Section 7.5] for details.) To see that mean convexity is preserved, one argues as follows. For notational convenience, we write temporarily $F_t = \varepsilon \Delta m_t - \frac{W'(m_t)}{\varepsilon} + \mu$ (the negative gradient). Differentiating the PDE we get that the evolution of F_t is given by $\partial_t F_t = \Delta F_t - \frac{W''(m_t)}{\varepsilon^2} F_t$. So F_t is a smooth solution of $\partial_t \gamma = \Delta \gamma - \frac{W''(m_t)}{\varepsilon^2} \gamma$, and the constant $\gamma = 0$ is also a solution to the same PDE. The condition $F > 0$ is therefore preserved by the maximum principle.

The mean convexity immediately gives that $m_t : N \rightarrow \mathbb{R}$ increases in t (since $\partial_t m_t = -\frac{1}{\varepsilon} \mathcal{F}'_{\varepsilon, \mu}(m_t) > 0$). Moreover the limit m_∞ of this flow (as $t \rightarrow \infty$) must be a stable solution of $\mathcal{F}'_{\varepsilon, \mu} = 0$. This follows by combining the mean convexity property with the maximum principle, see for example [2, Lemma 7.3].

Recall that for all sufficiently small ε there exist (exactly) three constant solutions of $\mathcal{F}'_{\varepsilon, \mu} = 0$: indeed, any such constant k must satisfy $W'(k) = \varepsilon \mu$ so one constant is slightly larger than -1 , one is slightly larger than $+1$, and the third is slightly smaller than 0 (as $\varepsilon \rightarrow 0$ they converge respectively to $-1, +1, 0$). It is immediate to check that the first two, that we denote respectively by $\underline{k}_{\varepsilon, \mu}$ and $\overline{k}_{\varepsilon, \mu}$, are stable, while the third is unstable. Note that $m_\infty \geq 1$ on M since $m_t \geq m$ for all t and $m = 1$ on M . In conclusion, we must have that either m_∞ is the constant $\overline{k}_{\varepsilon, \mu}$, or m_∞ is a non-constant (stable) solution to $\mathcal{F}'_{\varepsilon, \mu} = 0$ with $m_\infty \geq 1$ on M .

Flow starting at h . By the maximum principle, since $h \geq m$, the negative $\mathcal{F}_{\varepsilon, \mu}$ -gradient flow $\{h_t\}$ starting at $h_0 = h$ stays above $\{m_t\}$ at all times. On the other hand, $h < \underline{k}_{\varepsilon, \mu}$ (because $h \leq 1$) and $\overline{k}_{\varepsilon, \mu}$ is stationary, so $h_t \leq \overline{k}_{\varepsilon, \mu}$ for all t . Then we have two options for the limit h_∞ of h_t , as $t \rightarrow \infty$:

- (a) h_t converges to the constant $\overline{k}_{\varepsilon, \mu}$ (which solves $\mathcal{F}'_{\varepsilon, \mu} = 0$);
- (b) h_t converges to a non-constant solution h_∞ of $\mathcal{F}'_{\varepsilon, \mu} = 0$ with $h_\infty \geq m$.

In either case (a) or (b), using h_∞ as initial condition, we can run the negative \mathcal{E}_ε -gradient flow $\{h_{\infty, \beta}\}_\beta$ for $\beta \geq 0$; the initial condition $h_{\infty, 0} = h_\infty$ satisfies $-\mathcal{E}'_\varepsilon(h_\infty) = -\frac{\mu}{2\sigma} < 0$, therefore the same arguments used above prove that the sign of the first variation is preserved, i.e. $-\mathcal{E}'_\varepsilon(h_{\infty, \beta}) < 0$ for all $\beta \geq 0$ and the limit as $\beta \rightarrow \infty$ is a stable solution $h_{\infty, \infty}$ to $\mathcal{E}'_\varepsilon = 0$.

In case (a), the limit $h_{\infty, \infty}$ must be the constant $+1$. This follows by considering the ODE $\varepsilon \frac{dy}{d\beta}(\beta) = \frac{W'(y(\beta))}{\varepsilon}$ with initial condition $y(0) = \overline{k}_{\varepsilon, \mu}$, whose solution decreases to $+1$ as $\beta \rightarrow \infty$. Then $h_{\infty, \beta}(x) = y(\beta)$ is the solution of the negative \mathcal{E}_ε -gradient flow (by uniqueness). So in case (a) all times slices are constant and they converge to the constant $+1$. By composing the two paths produced, first from h to $\overline{k}_{\varepsilon, \mu}$ and then from $\overline{k}_{\varepsilon, \mu}$ to $+1$, we obtain a continuous path from h to $+1$.

Let us analyse case (b). The flow $h_{\infty, \beta}$ is decreasing (by the mean convexity condition $-\mathcal{E}'_\varepsilon < 0$ at $\beta = 0$) and reaches a stable solution $h_{\infty, \infty}$; we want to ensure that $h_{\infty, \infty}$ is not the constant -1 and we will do so, roughly speaking, by comparing with the mean curvature flow starting at $M_{z\eta}$. More precisely, the mean curvature flow with initial condition $M_{z\eta}$ is well-defined, smooth and mean convex for all $z \in (0, z_0]$ thanks to (7), which gives mean convexity for the initial condition. Let $\underline{z} = \frac{z_0}{2}$ and define the function

$$\underline{m}(x) = \overline{\mathbb{H}}^\delta(-\text{dist}(x, \text{graph}(z\eta))),$$

for $x \in \tilde{M} \overset{\times}{\sim} [0, \omega)$, where $\delta \in (0, \varepsilon_1)$ is chosen sufficiently small to satisfy that $\{\text{dist}(\cdot, M_{z\eta}) \leq 6\delta|\log \delta|\}$ and $\{\text{dist}(\cdot, M_{z_0\eta}) \geq -6\delta|\log \delta|\}$ are disjoint; the function \underline{m} is extended smoothly in the complement of $\tilde{M} \overset{\times}{\sim} [0, \omega)$ by setting it equal to -1 there. We stress that the definitions of m and \underline{m} are similar, however with the following differences: firstly, m was chosen to vanish at $\text{graph}(z_0\eta)$ while \underline{m} vanishes at $\text{graph}(z\eta)$; secondly, m depends on ε , while \underline{m} does not (δ has been fixed). The choice of δ guarantees $\underline{m} \leq m^\delta$ (the latter is m taken with $\varepsilon = \delta$), since whenever $\underline{m} > -1$ we have $m^\delta = +1$. It then follows ($|\log \varepsilon|$ decreases as ε decreases, see Section 5.1)

that whenever $\underline{m} > -1$ we have $m = m^\varepsilon = +1$ for all $\varepsilon \leq \delta$, that is, $\underline{m} \leq m = m^\varepsilon$ for all $\varepsilon \leq \delta$. It follows that $\underline{m} \leq h_\infty$ for all $\varepsilon \leq \delta$.

Then [13, 6.5] implies that the negative \mathcal{E}_ε -gradient flow with initial condition \underline{m} , that we denote by $\{\underline{m}_\beta\}_\beta$, “follows” the mean curvature flow $\{(M_{z\eta})_\beta\}_\beta$ with initial condition $M_{z\eta}$. Precisely, [13, 6.5] (which is in turn adapted from [4]) says that there exist constants $M_2 > 0$ and $\beta_0 > 0$ such that for all ε sufficiently small the following implication holds for $\beta \geq \beta_0 \varepsilon^2 |\log \varepsilon|$:

$$\text{dist}(x, (M_{z\eta})_\beta) \leq -M_2 \varepsilon |\log \varepsilon| \Rightarrow \underline{m}_\beta(x) \geq 1 - \varepsilon.$$

Every x in the tubular neighbourhood of M of semi-width $\frac{z_0 \min \tilde{M} \eta}{4}$ satisfies the condition $\text{dist}(x, (M_{z\eta})) \leq -\frac{z_0 \min \tilde{M} \eta}{4}$. This inequality implies $\text{dist}(x, (M_{z\eta})) \leq -M_2 \varepsilon |\log \varepsilon|$ for all sufficiently small ε . As $\{(M_{z\eta})_\beta\}_\beta$ is a mean convex mean curvature flow by (7), the inequality is preserved for all $\beta \geq 0$, that is, every x in the same tubular neighbourhood satisfies $\text{dist}(x, (M_{z\eta})_\beta) \leq -M_2 \varepsilon |\log \varepsilon|$ for all $\beta \geq 0$. The implication above then gives, on this fixed tubular neighbourhood, $\underline{m}_\beta(x) \geq 1 - \varepsilon$ for all $\beta \geq \beta_0 \varepsilon^2 |\log \varepsilon|$. The maximum principle (applied to the negative \mathcal{E}_ε -gradient flows with initial conditions $\underline{m} \leq h_\infty$) guarantees $h_{\infty, \beta} \geq \underline{m}_\beta$ for all $\beta \geq 0$. In particular, on the chosen tubular neighbourhood we have $h_{\infty, \infty} \geq \frac{1}{2}$ for all sufficiently small ε . In conclusion, in case (b) we have that $h_{\infty, \infty}$ is a stable Allen–Cahn solution with the property that there exists a fixed non-empty open set (independent of ε , as long as ε is sufficiently small) contained in $\{h_{\infty, \infty} > 1/2\}$.

The function v_ε in Proposition 3.1 is going to be $h_{\infty, \infty}$ (in both cases (a) and (b)). We showed that $h_{\infty, \infty} \neq -1$.

Remark 5.4. We used the initial condition \underline{m} in order to apply [13, 6.5], which takes an initial condition with gradient bounds independent of ε . In fact, we only need that result insofar as it permits to conclude that the negative \mathcal{E}_ε -gradient flow starting at \underline{m} stays above the initial condition for all sufficiently small ε , possibly after waiting for a time $\beta_0 \varepsilon^2 |\log \varepsilon|$. This could be proved directly, using the arguments of [4] that build a suitable subsolution.

Evaluation of \mathcal{E}_ε on the path. Let us estimate the value of \mathcal{E}_ε along the path (continuously joining h to $h_{\infty, \infty} = v_\varepsilon$ in $W^{1,2}(N)$) that we have produced. For this, note that $\mathcal{F}_{\varepsilon, \mu}$ is decreasing along the flow $\{h_t\}$, therefore $\mathcal{E}_\varepsilon(h_t) \leq \mathcal{E}_\varepsilon(h) + \frac{\mu}{\sigma} \mathcal{H}^{n+1}(N)$ for all t : this implies that \mathcal{E}_ε is bounded above independently of ε . More precisely, choosing $\mu = \mu_\varepsilon$ to be $2\|O(\varepsilon^2)\|_\infty$, where $O(\varepsilon^2)$ is the function appearing in (17), and recalling that $\mathcal{E}_\varepsilon(h) \leq 2\mathcal{H}^n(M) - \tau + O(\varepsilon |\log \varepsilon|)$, we can absorb $\frac{\mu}{\sigma} \mathcal{H}^{n+1}(N)$ in the error term $O(\varepsilon |\log \varepsilon|)$ for ε sufficiently small. In other words we obtain the upper bound

$$\mathcal{E}_\varepsilon(h_t) \leq 2\mathcal{H}^n(M) - \tau + O(\varepsilon |\log \varepsilon|) \tag{18}$$

for all t and for $\varepsilon < \varepsilon_2$. For the second part of the path $(h_{\infty, \beta})$ the energy \mathcal{E}_ε is decreasing, so the same upper bound holds. (In case (a), one can easily check that \mathcal{E}_ε decreases to 0 on the second part of the path.)

5.5 Conclusive arguments

In Sections 5.2, 5.3 and (in the beginning of) 5.4 we exhibited (given M , which also fixed B and τ) continuous paths in $W^{1,2}(N)$ that join -1 to f , f to g , g to h .

Then in Section 5.4 we produced by gradient flow a path (still continuous in $W^{1,2}(N)$) that joins h to a stable solution $v_\varepsilon = h_{\infty,\infty}$ of $\mathcal{E}'_\varepsilon = 0$ that is not the constant -1 . We obtained the energy bounds respectively (11), (12), (14), (16), (18). These are valid uniformly on the paths for all $\varepsilon < \varepsilon_2$.

For all sufficiently small ε , composing these partial paths we obtain a continuous path in $W^{1,2}(N)$ that starts at the constant -1 and ends at v_ε and such that

$$\mathcal{E}_\varepsilon \text{ along this path is } \leq 2\mathcal{H}^n(M) - \min \left\{ \tau, \frac{3\mathcal{H}^n(B)}{2} \right\} + O(\varepsilon |\log \varepsilon|).$$

Choosing ε_3 sufficiently small to ensure $O(\varepsilon |\log \varepsilon|) \leq \min \left\{ \frac{\tau}{2}, \mathcal{H}^n(B) \right\}$ the above bound gives, for all $\varepsilon < \varepsilon_3$, that the maximum of \mathcal{E}_ε on the path is at most $2\mathcal{H}^n(M) - \min \left\{ \frac{\tau}{2}, \frac{\mathcal{H}^n(B)}{2} \right\}$. This proves Proposition 3.1.

Remark 5.5 (proof of Corollary 1.1). Multiplicity-1 convergence of critical points u^ε of \mathcal{E}_ε to a minimal hypersurface M implies that the functions u^ε converge in $BV(N)$ to a function $u_\infty : N \rightarrow \{\pm 1\}$, with the property that $M = \partial\{u_\infty = +1\}$. In particular, the inner normal to $\{u_\infty = +1\}$ provides a global unit normal to M , so M is two-sided.

Remark 5.6. The multiplicity-1 convergence guarantees that the Morse index of M is at most 1 and that only way for it to vanish is that M has non trivial nullity. However, with a bumpy metric, the nullity has to be trivial, hence the Morse index of M is 1. (The lower semi-continuity of the Morse index also follows from [6] or [11].)

Remark 5.7. The statement in Remark 2.2 is proved as follows. Since V^{u_ε} converge (upon extraction of a sequence) to $|M|$ (with multiplicity 1), and M is strictly stable (and two-sided) by the bumpy metric assumption, then the nullity of u_ε has to be 0 for all sufficiently small ε (the nullity is upper-semi-continuous as $\varepsilon \rightarrow 0$). Then u_ε has Morse index 1. We perturb u_ε by its first eigenfunction, in both directions, obtaining two functions, one strictly larger and one strictly smaller than u_ε (the perturbation is energy-decreasing), with $-\mathcal{E}'_\varepsilon$ respectively positive and negative. We then consider two negative- \mathcal{E}_ε -gradient flows, one increasing, one decreasing, starting respectively at the two functions. These flows are mean convex and produce two continuous paths that reach respectively a stable solution v_ε^2 with $v_\varepsilon^2 > u_\varepsilon$ and a stable solution v_ε^1 with $v_\varepsilon^1 < u_\varepsilon$. In particular these solutions are distinct. Moreover, they must be strictly stable for sufficiently small ε , thanks to Lemma A.1. We thus have a continuous path that joins two strictly stable solutions and such that the maximum of \mathcal{E}_ε along this path is achieved at u_ε .

A Passing stability to the limit for the double cover

The proof of Lemma 3.1 will be a consequence of the following observation.

Lemma A.1. *Let N be a Riemannian manifold of dimension $n+1$, and let $v_{\varepsilon_i} : N \rightarrow \mathbb{R}$ be stable solutions to $\mathcal{E}'_{\varepsilon_i} = 0$, with $V^{v_{\varepsilon_i}} \rightarrow V$ ($V^{v_{\varepsilon_i}}$ are the varifolds associated to v_{ε_i} as in Section 2) and $V = \sum \theta_\alpha |M_\alpha|$, where $\theta_\alpha \in \mathbb{N}$ and M_α is a smoothly embedded minimal hypersurface with $\dim_{\mathcal{H}}(\overline{M} \setminus M) \leq n - 7$. Then the oriented double cover of M_α is stable for each α .*

Note that the regularity of $\text{spt} \|V\|$ is not an assumption: it follows from the fact that any limit of $V^{v_{\varepsilon_i}}$ is a stationary integral varifold by [12] satisfying a stability

condition and therefore its support must be smooth away from a codimension-7 set by [32], [35].

proof of Lemma A.1. Denoting by $V^i = V^{v_{\varepsilon_i}}$ the associated varifolds, we have $V^i \rightarrow V$. Let R be the smoothly embedded part of a connected component of $\text{spt } \|V\|$. Then R is a stable minimal hypersurface and more precisely, if R carries multiplicity $\theta \in \mathbb{N}$ we have that for every $f \in C^2(R)$ the following inequality holds: $\int f^2(|A|^2 + \text{Ric}_N(\nu, \nu))\theta d\mathcal{H}^n \llcorner R \leq \int |\nabla f|^2 \theta d\mathcal{H}^n \llcorner R$. This follows from the stability inequality $\mathcal{E}''_{\varepsilon} \geq 0$ as in [31] (by first extending f to a C^2 function on N , employing a tubular neighbourhood of R). Since θ is constant on R we can write the same inequality for $\theta = 2$, which amounts to the following fact: letting \tilde{R} denote the oriented double cover of R , the minimal immersion $\iota : \tilde{R} \rightarrow N$ (that covers R twice) is stable for all deformations whose initial speed is given by a C^2 even function on \tilde{R} multiplied by ν , where ν is a determination of the unit normal for the immersion. Let now ϕ be a C^2 odd function on \tilde{R} . Then a deformation of \tilde{R} as an immersion, with initial speed given by $\phi\nu$, amounts to an ambient deformation of $2|R|$: indeed, both ν and f are odd on \tilde{R} , hence $\phi\nu$ is (identified with) a well-defined C^2 vector field on R (in particular, if R is one-sided then this vector field must vanish somewhere). Then the second variation of area is non-negative along this deformation, by using [6] to pass to the limit the stability condition for v_{ε_i} .

Next, we consider an arbitrary $\phi \in C^2(\tilde{R})$. We consider the deformation of the immersion $\iota : \tilde{R} \rightarrow N$ (induced by the standard 2–1 projection) given by $\exp_{\iota(p)}(\iota(p) + t\phi(p)\nu(p))$, for $t \in (-\delta, \delta)$ and ν a choice of unit normal to the immersion. The second variation of area computed at $t = 0$ along this deformation is given by

$$\int |\nabla\phi|^2 - \phi^2(|A|^2 + \text{Ric}_N(\nu, \nu)) d\mathcal{H}^n \llcorner \tilde{R}. \quad (19)$$

Consider the involution $i : \tilde{R} \rightarrow \tilde{R}$ that sends each point to the only other point with the same image via ι . Then by writing $\phi_e = \frac{\phi + \phi(i)}{2}$ and $\phi_o = \frac{\phi - \phi(i)}{2}$ we obtain $\phi = \phi_e + \phi_o$ with ϕ_e even on \tilde{R} and ϕ_o odd on \tilde{R} . We expand and rewrite (19) as follows:

$$\int |\nabla\phi_e|^2 + |\nabla\phi_o|^2 - \phi_e^2(|A|^2 + \text{Ric}_N(\nu, \nu)) - \phi_o^2(|A|^2 + \text{Ric}_N(\nu, \nu)) d\mathcal{H}^n \llcorner \tilde{R}. \quad (20)$$

Here we used the fact that $\int \nabla\phi_e \nabla\phi_o d\mathcal{H}^n \llcorner \tilde{R} = 0$ because $\nabla\phi_o \nabla\phi_e$ is an odd function, and the fact that $\phi_e \phi_o$ is odd and $|A|^2 + \text{Ric}_N(\nu, \nu)$ is even, therefore the mixed product $\phi_e \phi_o (|A|^2 + \text{Ric}_N(\nu, \nu))$ integrates to 0.

In conclusion, (20) shows that the second variation of area for ι along the deformation induced by $\phi\nu$ is the sum of the second variation induced by $\phi_e\nu$ and the second variation of $2|R|$ induced by the ambient vector field (in a tubular neighbourhood of R) given by $\phi_o\nu$. As we saw above that both of these are non-negative, we conclude that the double cover \tilde{R} of R is stable. \square

proof of Lemma 3.1. Arguing by contradiction, let v_{ε_i} for $\varepsilon_i \rightarrow 0$ be stable critical points of $\mathcal{E}_{\varepsilon_i}$ and assume that for every i strict stability fails.

Let $V^i = V^{v_{\varepsilon_i}}$ denote the associated varifolds, we have $V^i \rightarrow V$ (subsequentially) and $\text{spt } \|V\|$ is everywhere smoothly embedded. By Lemma A.1 each connected component R of $\text{spt } \|V\|$ is a smoothly embedded closed minimal hypersurface with stable

double cover. By the bumpy metric assumption, the double cover is strictly stable. Then [10] applies to give that $V^{v_{\varepsilon_i}}$ converge with multiplicity 1 to their varifold limit V . The multiplicity-1 convergence implies that the nullity is upper-semi continuous in the $\varepsilon \rightarrow 0$ limit, therefore v_{ε_i} must be strictly stable for sufficiently large i , contradiction. \square

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